



RACING
SA

Racing SA Limited

Local Rules of Racing

As at 25 August 2023

Including amendment to

LR33.2, and

Adoption of new Local Rule

LR46

Note: Amendment and New Rule effective 01/10/2023

DEFINITIONS

LOCAL RULE – 1

<p>“AR” means an Australian Rule of Racing.</p>	Adopted 1/03/05
<p>“Australian Rules of Racing” means the Rules made by the Australian Racing Board and includes all amendments thereto.</p>	Adopted 1/03/05
<p>“Authorised Representative” means a person or company registered with the ASIC as an authorised representative (as that term is defined in the <i>Corporations Act 2001</i>) of a promoter.</p>	Adopted 1/11/04
<p>“Board” means the directors of Racing SA acting as a Board.</p>	Adopted 1/05/05 Amended 29/1/21
<p>“Club” includes a Club registered pursuant to the Rules.</p>	
<p>“Country” – means, unless otherwise determined by Racing SA all race meetings, regardless of the Race Club, for which the total advertised prizemoney per race, for the majority of the races programmed, is at the level/s determined by Racing SA in accordance with its Country Prizemoney schedule.</p>	Amended 29/5/15 Amended 29/1/21 Amended 19/11/21
<p>“Course” means any racecourse or training ground over which Racing SA has or exercises control, management or supervision and any racecourse or training ground of which a Registered Club has or exercises control, management or supervision for racing or training purposes and (when the context permits) any parking or other area which is used by any Club in connection with racing and the administrative offices of the Principal or any Club.</p>	Amended 29/1/21
<p>“Directors” means the directors of Racing SA acting as a Board.</p>	Adopted 1/05/05 Amended 29/1/21
<p>“GM Integrity” means the person appointed by the Board as the General Manager Integrity to fulfill the functions associated with, but not limited to, the management of the Racing SA Integrity Department, including the Chairman of Stewards, the Chief Veterinary Officer, and the Compliance Officer.</p>	Adopted 1/07/22
<p>“Handicap” is a race in which the weights the horses are to carry are adjusted, after the time limited for entering, according to the opinion of the Handicapper appointed to frame the handicap upon the merits of the horses for the purpose of attempting to equalize their chances of winning.</p>	Adopted 1/05/05
<p>“Handicapper” means a person properly appointed to allot the weights to be carried by horses nominated for a handicap race, to confirm the weight to be carried by horses nominated for any set weight race is in accord with the conditions of the race and to allocate a ballot number where required by the Local Rules.</p>	Adopted 1/05/05
<p>“Horse” includes a thoroughbred racehorse and refers to a mare, filly, entire, colt, rig or gelding.</p>	Amended 1/05/05
<p>“Impaired mental function” means</p> <ul style="list-style-type: none">(a) a mental illness within the meaning of the <i>Mental Health Act 2009</i>; or(b) an disability within the meaning of the <i>Disability Services Act 1993</i>; or(c) an acquired brain injury; or(d) autism spectrum disorder; or(e) a neurological impairment, including but not limited to dementia.	Adopted 5/3/13
<p>“Incorporated Jockey” means a company to which an Incorporated Jockey's Licence has been issued under LR 22.</p>	Amended 1/05/05
<p>“Incorporated Jockey's Licence” means a licence issued under LR 22.</p>	Amended 1/05/05
<p>“Jump Outs” - A Jump Out is a training exercise conducted by a Registered Club compliant with official protocols adopted by the Board. A Jump Out shall be started from starting gates; without</p>	Adopted 1/09/06 Amended 29/1/21

the permission of Racing SA, shall not be conducted over more than 600 metres, and include no more than five (5) horses in any heat.”

“**Licence**” includes any licence or permit granted by any Principal Racing Authority.

“**Licensed Director**” means a licensed jockey who is a director of an Incorporated Jockey.

“**Local Rules**” means those Rules made from time to time by Racing SA for the conduct of thoroughbred racing in South Australia.

“**LR.**” means a Local Rule

“**Metropolitan**” – means, unless otherwise determined by Racing SA all race meetings, regardless of the Race Club, for which the total advertised prizemoney per race, for all races programmed, is at the level/s determined by Racing SA in accordance with its Metropolitan Prizemoney schedule.

“**Official**” means and includes:

1. a member of the Board,
2. a member of the committee of a Registered Club
3. a secretary, steward, investigator, veterinary surgeon, judge, handicapper, starter, clerk of the course, clerk of the scales, barrier attendant, employee, servant or agent of the Club or appointed by the Board.
4. any other person appointed by the Board to perform any service or act or to carry out any duty.

“**Official Trial**” means a trial on the flat or over jumps under the control or supervision of the Stewards at the racecourse of a Registered Club.

“**Permit**” means a Permit issued by the Board of Racing SA to train horses for fee or reward or the nominator to train any horse in which he/she has a registered interest.

“**Prescribed Relative**” means a parent, spouse or child (over 18 years), or a Licensed Director.

“**Prizemoney**”, for the purposes of these Rules, prizemoney shall take the meaning of prize as defined in Rule AR 2.

“**Provincial**” – means, unless otherwise determined by Racing SA all race meetings, regardless of the Race Club, for which the total advertised prizemoney per race, for the majority of the races programmed, is at the level/s determined by Racing SA in accordance with its Provincial Prizemoney schedule.

“**Racing Calendar**” means the Racing Calendar published by Racing SA.

“**Racing SA**” shall mean Racing SA Ltd ACN 09404750939.

“**Racing year**” means the period of 12 calendar months commencing on the 1st day of August in any one year and terminating on the 31st day of July in the following year.

“**Registered Club**” means a Club registered pursuant to the Rules.

“**Rider’s Agent**” means a person licensed by any Principal Racing Authority who by contract or any form of agreement assists a jockey or an apprentice jockey with riding engagements.

“**Rules**” means the Australian Rules of Racing for the time being and the Local Rules for the time being read, interpreted, and construed together.

“**Special circumstance**” means as set out in LR.32.5.

“**Weight for Age Race**” means a race on the flat in which weights are expressed in accordance with AR 168 and AR 170 and may include races in which the conditions allow for penalties and allowances and/or restrict entry to a particular age.

Amended 29/1/21

Adopted 1/05/05

Amended 29/5/15
Amended 29/1/21
Amended 19/11/21

Amended 1/05/05

Adopted 1/05/05

Amended 29/1/21

Adopted 1/2/10
Amended 1/3/19

Amended 1/2/10
Amended 29/5/15
Amended 29/1/21
Amended 19/11/21

Amended 29/1/21

Adopted 29/1/21

Adopted 5/3/13

Adopted 1/05/05
Amended 1/3/19

APPLICATION OF THE RULES

LOCAL RULE – 2

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| <p>2.1 The Local Rules shall come into operation on the 1st day of May, 2005, and any other Rules of Racing previously in force shall be repealed as from that day and the provisions of Rules 4 and 5 of the Australian Rules of Racing shall apply mutatis mutandis to these Local Rules as if the same were expressly incorporated herein.</p> <p>2.2 Any person who takes part in any matter coming within the Rules or to which the said Rules apply is thereby deemed to consent to be bound by them and to be so bound.</p> | <p>Commencement
(LR 3)
Previously adopted
1/08/2005</p> <p>Persons bound by
Rules
(LR 5)
Amended 1/05/05</p> |
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INTERPRETATION OF THE RULES

LOCAL RULE – 3

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| 3.1 | The Australian Rules of Racing and the Local Rules shall be read, interpreted and construed together and as so combined shall be and be known as "The Rules of Racing of Racing SA". | Combined Australian
And Local Rules
(LR 2)
Amended 29/1/21 |
| 3.2 | References in the Australian Rules of Racing to “the Committee” of a Principal Racing Authority, in South Australia, means the Board of Racing SA. | Adopted 1/05/05
Amended 29/1/21 |
| 3.3 | Should any inconsistency arise between an Australian Rule of Racing and a Local Rule, the Australian Rule of Racing shall prevail to the extent of such inconsistency, provided that from 29 January 2021 any reference in the Australian Rules of Racing to “Thoroughbred Racing S.A. Limited” shall be read to mean “Racing SA”. | Inconsistency
Adopted 1/05/05
Amended 29/1/21 |
| 3.4 | Words importing the singular include the plural and the plural the singular, unless the context requires otherwise; and words importing the masculine gender shall be deemed and taken to include females unless the contrary is expressly provided; and words importing the feminine shall be deemed and taken to include the masculine unless the contrary is expressly provided. | Adopted 1/05/05 |
| 3.5 | Any of the terms defined in AR 2 and LR 1 when used in any permit, registration, licence, permit, ticket of admission to a racecourse, instrument or document issued pursuant to or authorised by the Rules or in connection with racing or training shall have the meaning thereby ascribed to it. | Adopted 1/05/05
Amended 1/3/19 |
| 3.6 | The headings and marginal notations, where they appear, are for reference purposes only and shall not be regarded as forming part of the Rules | Headings
Adopted 1/05/05 |

**SPECIAL POWERS OF THE BOARD
OF RACING SA**

LOCAL RULE – 4

4.1	The Board of Racing SA shall have power:	(LR 6) Amended 29/1/21
	1. to entertain an Appeal from a decision of the Stewards in accordance with LR 33.2.	Appeals
	2. to fix the date upon which any race meeting conducted under the Rules shall be held.	Allot dates
	3. to settle and issue forms for entries, nominations and applications or for any other purpose in any way relating to any act matter or thing necessary or expedient to be done under the Rules.	Prescribe Forms
	4. to cancel, postpone, transfer or abandon any race or race meeting.	Cancel, postpone of transfer race meetings Amended 1/05/05
	5. to exempt any person or Registered Club from compliance with the Rules or any of them.	Exempt from Rules
	6. to direct any person to make a statutory declaration touching any matter which is or may be the subject of enquiry by the Board or by any person authorised in that behalf by the Board.	Direct Statutory Declaration Amended 1/05/05
	7. to delegate to any Director of the Board or to any official or employee of Racing SA or any other person any power or authority vested in the Board under the Rules save this power of delegation.	May delegate power Amended 1/05/05 Amended 27/9/13 Amended 29/1/21
	8. to warn off any course any person whose presence thereon is in the opinion of the Board not desirable.	Warn Off Amended 1/05/05
	9. to publish a Racing Calendar containing such information and particulars relating to racing as are required or authorised by the Rules to be published or advertised together with such other information as the Board may from time to time determine.	Racing calendar Amended 1/05/05
	10. to give any direction necessary or expedient in connection with any act, matter or thing not provided for by the Rules.	Matters not provided for by Rules
	11. generally to carry the Rules into effect and, without limiting the generality of such power, to give any direction or to make any regulation which to the Board may seem necessary or expedient for the purpose.	Carry Rules into effect
	12. to make charitable and benevolent payments to disabled and distressed jockeys and trainers and to create a fund for that purpose.	Make payment to disabled.
	13. appoint a Delegate to represent the Board at any meeting of the Australian Racing Board.	Delegates to ARB Adopted 1/05/05
	14. to approve, retrospectively if necessary, any decision made by any Director, official or employee of Racing SA in the exercise of any matter under the Rules.	Approve decision Adopted 1/05/05 Amended 29/1/21

4.2 The Board or the Stewards or any official authorised by the Rules or by the Board may enquire into

Authorised Inquiries
Adopted 1/05/05

- 1.** any matter which in its or his/her opinion arises, or is alleged to arise, out of any race or race meeting held in South Australia or concerns any race or race meeting or racing in South Australia.
- 2.** any transaction, act or omission on any racecourse;
- 3.** the conduct of any person who, at the relevant time, was (or by the Rules should have been) a registered or licensed owner, nominator, trainer, rider's agent, jockey, licensed director, apprentice, stable hand, or bookmaker or who was admitted to a racecourse.
- 4.** the conduct of any person who has applied, or is applying, to become a registered or licensed owner, trainer, rider's agent, jockey, licensed director, apprentice, stable hand or bookmaker.

STEWARDS

LOCAL RULE – 5

<p>5.1 The Board may from time to time:</p> <ol style="list-style-type: none">1. appoint any number of persons to act as Stewards or Cadet Stewards for the purposes of the Rules; and2. define the term of office and remuneration of such Stewards or Cadet Stewards; and	Appointment of Stewards (LR 11) Amended 1/05/05 Amended 1/7/22
<p>5.2 The Board may appoint:</p> <ol style="list-style-type: none">1. a Chairman of Stewards; and2. a Deputy Chairman of Stewards to discharge the duties of the Chairman in his or her absence.	Appoint Chairman of Stewards and Deputy Chairman Adopted 1/05/05
<p>5.3 Racing SA may from time to time:</p> <ol style="list-style-type: none">1. appoint one or more persons as Deputy Stewards;2. define the term of office and remuneration of such Deputy Stewards; and3. determine by what Clubs and in what proportions the remuneration of the Deputy Stewards must be paid.	Appointment of Deputy Stewards Adopted 1/05/05 Amended 29/1/21
<p>5.4 1. The Board may from time to time appoint a person or persons as a Compliance Assurance Officer - Steward hereinafter referred to as “Compliance Officer” to undertake such investigations; other functions, and/or duties as may be required at the direction of:</p> <ol style="list-style-type: none">(a) the GM Integrity, or(b) in the absence of the GM Integrity or as delegated by the GM Integrity, the Chairman of Stewards. <p>2. The Compliance Officer will have, and may exercise all the powers of a Steward.</p> <p>3. The Board may from time to time appoint an Equine Welfare Officer, hereinafter referred to as “Welfare Officer”, to undertake such investigations; other functions, and/or duties as may be directed by:</p> <ol style="list-style-type: none">(a) the GM Integrity, or(b) in the absence of the GM Integrity or as delegated by the GM Integrity, the Chairman of Stewards, or(c) other Steward acting in that capacity at any race meeting. <p>4. In undertaking their duties described in sub rule 5.4.3, the Welfare Officer such will have and may exercise the powers, perform the functions and carry out the duties conferred on Stewards by AR 20(f), AR 22(1)(d), (e), (f), (k) and (l) and AR 22(2).</p> <p>5. The Board may from time to time appoint a GM Integrity, and;</p> <ol style="list-style-type: none">(a) The GM Integrity has the same power(s) under the Rules of Racing as Stewards, and for the avoidance of doubt the GM Integrity may act as a member of a race day Stewards panel.	Appointment of Compliance Officer/Equine Welfare Officer/GM Integrity (Previously Racecourse Investigator) Adopted 1/05/05 Effective 1/02/09 Amended 29/11/18 Amended 29/1/21 Amended 1/07/22
<p>5.5 The powers conferred by the Rules on the Stewards shall be exercisable:</p> <ol style="list-style-type: none">1. in the case of a meeting held in the metropolitan area, by such Stewards as are present and act at such meeting.2. in the case of a meeting held outside the metropolitan area, by such Stewards (if any) as are present and act at such meeting together with such other Stewards as may be appointed by the Committee of the Registered Club conducting the meeting provided that when one or more Stewards act at such a meeting the total number of Stewards appointed by the Committee of that Club shall not exceed three.	Exercising powers of Stewards (LR 14) Amended 1/9/89

<p>3. should there be no Stewards acting at such meeting the Committee of the Registered Club holding the meeting may appoint more than three persons to act as Stewards but in that event voting power shall be restricted to three Stewards designated by such Committee for that purpose.</p>	
<p>5.6 The following provision shall apply to Stewards:</p>	<p>(LR 15)</p>
<p>1. (a) No race meeting shall be held unless there shall be present at least three Stewards competent and willing/able to act and if at any time on the day of such meeting there be less than three such Stewards, then the Steward or Stewards so present and competent and willing/able to act shall appoint a deputy or deputies sufficient in number to enable the meeting to be held or continued.</p>	<p>At least three Stewards to act Amended 1/07/22</p>
<p>(b) If there are less than three Stewards present and competent and willing/able to act at a race meeting, and there are no available appropriate persons at the race meeting competent and willing/able to be appointed pursuant to LR 5.1, 5.2 & 5.3, then the requirements of LR 5.6.1 (a) and LR 5.6.3 does not need to be adhered to.</p>	
<p>2. If no Steward be present at such meeting or be able and willing to act, the Secretary of the Registered Club may nominate three or less persons to act as Stewards, provided that any such appointment requires the prior approval of either the GM Integrity or, in his or her absence, the Chairman of Stewards.</p>	<p>Secretary to appoint Amended 1/07/22</p>
<p>3. Three Stewards shall form a quorum.</p>	<p>Quorum</p>
<p>4. (a) The Chairman of Stewards shall act as Chairman at all proceedings of the Stewards at a race meeting, but if the Chairman of Stewards is not present at a race meeting then the Deputy Chairman shall take the place of the Chairman in his/her absence. In the absence of both the Chairman and Deputy Chairman the GM Integrity, or as delegated by him, the Chairman of Stewards or the Deputy Chairman of Stewards may appoint a Steward to act as Chairman of Stewards for that meeting, provided that such Steward is one appointed in accordance with LR 5.1.1.</p>	<p>Chairman of Stewards Amended 1/07/22</p>
<p>(b) Notwithstanding the provisions of LR 5.6.4(a), and not in limitation thereof, in proceedings other than those contemplated by sub rule LR 5.6.4(a), the GM Integrity, the Chairman of Stewards, or such other Steward appointed for this purpose by either the GM Integrity or the Chairman of Stewards may act as Chairman at those proceedings of the Stewards, provided that any such other Steward is one appointed in accordance with LR 5.1.1.</p>	
<p>5. In the exercise of his/her discretion and whether present at a race meeting or not, the GM Integrity, or as delegated by him, the Chairman of Stewards may, in writing, appoint a Steward present at a race meeting to act as Acting Chairman of such proceedings of the Stewards and for such period as the Chairman of Stewards stipulates.</p>	<p>Appoint Acting Chairman in writing Amended 1/07/22</p>
<p>6. At all race meetings or proceedings, whether at a race meeting or otherwise, of the Stewards, the Chairman acting in that capacity for the time being shall have a casting as well as a deliberative vote.</p>	<p>Chairman shall have casting vote Amended 1/07/22</p>
<p>7. No official or other person in receipt of remuneration from the Registered Club conducting the meeting shall act as a Steward but this sub-Rule shall not apply to a Steward.</p>	<p>No Paid Official to act</p>
<p>8. Any power to make a declaration or decision affecting betting and vested in the Stewards by a Rule made by the Independent Gambling Authority or other lawful authority shall be exercisable by the Stewards acting at the race meeting concerned.</p>	<p>Power to alter betting Amended 1/05/05</p>
<p>5.7 The Stewards may enquire into any incident arising at any official trial, jump-out, or any training track and take appropriate action against any person or persons found guilty of any breach of these Rules and also take any action deemed necessary in respect of any horse in accordance with the Rules.</p>	<p>Stewards may enquire into any incident at trial or training track (LR 7) Amended 1/07/22</p>
<p>5.8 The Stewards may at any time enquire into and adjudicate upon any matter pertaining to a forthcoming race meeting, official trial and/or jump-out.</p>	<p>Forthcoming race meeting (LR 8) Amended 1/07/22</p>

5.9	The Stewards may declare any race void.	Declare race void (LR 9)
5.10	The Stewards may, from time to time, limit the number of horses permitted to be started in any race, official trial or jump-out at any racecourse or training facility.	Barrier Limit (LR 9A) Adopted 1/10/02 Amended 1/07/22
5.11	The Stewards may suspend from starting for a period any horse which they consider has been uncompetitive, unfit, sufficiently unruly or wayward to warrant such suspension. Any horse so suspended shall not be permitted to accept for any race until it has performed to the satisfaction of Stewards in an official trial.	Power to Suspend (LR 10) Adopted 1/10/02 Amended 1/05/05
5.12	The Stewards may defer, for a period of no longer than nine (9) days, the commencement of a suspension which they have imposed on any rider.	Defer suspension (LR10A) Amended 15/5/04
5.13		Suspend penalty (LR 10AA) Adopted 1/3/04 Deleted 1/6/11
5.14	The Stewards or any of them or any other official appointed for that purpose by the Committee of a Registered Club may, in their or his/her discretion, exclude and eject from all places under the control of the Club for which the Steward or the official is for the time being acting:	May exclude and eject (LR 16)
	(a) every person referred to in AR 228(a).	Amended 1/3/19
	(b) every person who is liable to expulsion or removal by law or pursuant to any of the Rules or by-laws of the Racing SA or any Registered Club or under any resolution of the Board or Stewards of Racing SA or of any Registered Club, whether such resolution apply to general classes of persons or offences or to particular persons or offences.	Amended 29/1/21
5.15	If at any race meeting and/or official trial, jump-out or training track any act, matter or thing shall arise which is not provided for by the Rules it shall be determined by the Stewards in such manner as they in their absolute discretion shall think fit.	Case not provided for by Rules (LR 17) Amended 1/07/22
5.16	1. The Stewards may at any time direct the surrender by any person of a horse's Document of Description, Foal Identification Card or Thoroughbred Identification Card.	Effective 1/9/09
	2. The Stewards may further direct the Registrar of Racehorses to issue a duplicate Document of Description, Foal Identification Card or Thoroughbred Identification Card for any horse and make a direction with regard to the payment of any fee imposed by the Registrar of Racehorses.	Effective 1/9/09
	3. Any person who fails to or refuses to comply with such order of the Stewards or otherwise interferes with another person's attempts to respond to such orders may be penalised.	Effective 1/9/09

5.17 Further to the provisions of AR 20, AR 21 , AR 22 and not in limitation thereof and in addition to any other of their powers, the Stewards shall have the power, in connection with, and for the purposes of, their enforcement of the Rules of Racing and/or in furtherance of their powers under the Rules of Racing, to give or make to a Person or Participant in Racing (each as defined in AR 2) an order, direction or request to:

1. give stated information;
2. produce stated documents, material, records, samples, equipment, gear or thing(s); and/or
3. provide an authority to a third party for the release of information to the Stewards which information is held by that third party and relates to the Person or Participant's personal or business affairs;

touching upon any matter which is or may be the subject of inquiry by the Stewards, which in the Stewards' reasonable opinion is considered necessary or expedient and a Person or Participant in racing so ordered, directed or requested must comply. A failure to comply may be penalised.

Without derogating from, or limiting any other provisions in the Rules, the Stewards may decline to receive, or at any time after having received reject, any nomination or entry, in their sole discretion, based on considerations relating to the frequency of race starts of a horse.

5.18

Power to make
declaration
Effective 17/1/14
Amended 1/3/19

Effective 26/08/22

MEDICAL PANEL

LOCAL RULE – 6

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| <p>6.1 (a) The Board may appoint a Medical Panel of up to three Medical Practitioners to provide the Board or the Stewards with advice, and undertake any assessments in relation to medical issues concerning any applicant for, or holder of, a license under these Rules.</p> <p>(b) Where necessary or appropriate, any one or more of such medical practitioners shall constitute the Medical Panel and provide advice and undertake assessments to the Board or the Stewards.</p> <p>(c) Where members of the Medical Panel are of the opinion that they do not possess the necessary skill or experience to provide appropriate advice or undertake assessments to the Board, or the Stewards, in relation to a particular matter, they may engage such other medical or professional adviser, as they determine appropriate, to provide that advice or undertake that assessment.</p> | <p>(LR 6A(1))
Adopted 1/03/03
Amended 1/05/05</p> |
| <p>6.2 (a) The Board or the Stewards may at any time require any person holding a licence or permit under these Rules, to be assessed medically, psychologically or physically by the Medical Panel in relation to any matter or thing impacting upon their capacity to comply with the requirements of such licence or permit.</p> <p>(b) Any person who fails or refuses to submit to any physical, psychological and/or medical assessment procedure when required to do so, pursuant to this Rule, may be penalised.</p> <p style="padding-left: 40px;">Where the physical, psychological and/or medical assessment rises, any unsatisfactory issues about the capacity of the person to comply with the requirements of such licence or permit, the Board or the Stewards may impose such condition on the licence or permit as are appropriate.</p> | <p>(LR 6A(2))
Adopted 1/03/03
Amended 1/05/05</p> <p>Amended 1/1/10</p> |
| <p>6.3 (a) The Stewards or the Board may at any time require any jockey or any person granted permission to ride in races or track work to undergo any physical, psychological and/or medical assessment as determined or conducted by the Medical Panel or any other medical or other professional appointed to undertake that assessment by the Medical Panel.</p> <p>(b) The Stewards may suspend the license or permit of any person if, in the opinion of the Medical Panel, any assessment or report arising there from raises unsatisfactory issues in relation to the person’s capacity to ride or perform any other duties relating to the license or permit.</p> <p>(c) Any person who fails or refuses to submit to any physical, psychological and/or medical assessment procedure when required to do so pursuant to this Rule may be penalised.</p> <p>(d) The Board, on receipt of the assessment or report of the Medical Panel and of any recommendation by the Stewards may confirm the suspension of the license or permit or subject it to such conditions, as the Board deems appropriate.</p> | <p>(LR 67)
Adopted 1/03/03
Amended 1/05/05</p> <p>Amended 1/1/10</p> |

REGISTERED CLUBS**LOCAL RULE – 7**

7.1	Every application to register a Club shall be accompanied by a fee as the Board may from time to time determine.	Fee to register (LR 18)
7.2	The Board may in its absolute discretion and at any time suspend or cancel the registration of any Club and during the period of such suspension or upon such cancellation (as the case may be) such Club shall be deemed to be unregistered.	Registration of Club may be cancelled (LR 20)
7.3	Unless approved by the Board no bookmaker or person who holds a licence or permit to train (other than an owner holding a permit to train his/her own horse or horses) shall be eligible for appointment as an official of any Registered Club or as a member of its committee.	No Bookmaker or Trainer to act as Director or Official (LR 28(b))
7.4	Each Registered Club shall appoint all officials necessary for the conduct of any race meeting conducted by such Club;	Appointment of Officials (LR 28(a))
7.5	Each Registered Club shall upon the appointment of any official notify Racing SA in writing thereof.	Appointment of Officials to be notified (LR 19) Amended 29/1/21
7.6	Each Registered Club intending to hold a race meeting may only conduct the programme as approved for that meeting by Racing SA.	Programmes to be submitted (LR 22) Amended 1/05/05 Amended 29/1/21
7.7	No Registered Club shall conduct a race meeting unless a programme of such meeting together with such other particulars relating to the meeting as the Board may require is advertised in the Racing Calendar.	Programmes to be advertised (LR 21)
7.8	No Registered Club shall conduct a race meeting unless the race meeting and the programme for such meeting have been approved by Racing SA which may attach conditions to such approval including a condition that the Registered Club shall pay to Racing SA such sums as it shall determine.	Approval of Board required (LR 23) Amended 1/05/05 Amended 29/1/21
7.9	No meeting shall be conducted unless the Registered Club has complied with the conditions determined by Racing SA and, if a meeting is held without such compliance it shall be deemed an unregistered meeting.	Must comply with Conditions (LR 24) Amended 1/05/05 Amended 29/1/21
7.10	No Registered Club shall fail to hold a race meeting on the day fixed by the Board unless such meeting has been cancelled, postponed, transferred or abandoned in accordance with the Rules.	Race meetings to be held on dates fixed (LR 26)
7.11	Each Registered Club shall, no later than seven days after any official trial conducted by it, remit to Racing SA any riding fee payable in respect of a riding engagement fulfilled by an apprentice.	Remission of apprentices' riding fees (LR 29) Amended 1/05/05 Amended 29/1/21
7.12	Racing SA, in consultation with the Registered Clubs, may specify, at a time designated by the Board, the days on which such Clubs shall hold race meetings during any racing year.	Application for Racing Dates (LR 27) Amended 29/1/21
7.13	<ol style="list-style-type: none">1. A registered Club which seeks to cancel Official Barrier Trials programmed by Racing SA may do so only with the permission of Racing SA.2. Unless permission is granted by Racing SA, a registered Club which has been permitted to cancel Official Barrier Trials in accordance with sub-rule 7.13.1, may not conduct jump outs on that day.	Adopted 1/9/06 Amended 29/1/21

RACE MEETINGS

LOCAL RULE – 8

- 8.1** All race meetings in South Australia conducted by a Registered Club must be held under, and subject to, the Rules. Adopted 1/05/05
- 8.2** **1.** Unless otherwise approved by the Board, only Stewards, Judges, Starters and each of their assistants appointed by the Board shall officiate at all race meetings. Club Officials
Adopted 1/05/05
Amended 29/1/21
- 2.** Other officials necessary for the conduct of the race meeting may be appointed by the Board or as necessary by the Committee of the Registered Club holding the race meeting. Any official so appointed by a Registered Club must be approved by Racing SA.
- 8.3** Unless otherwise determined by the Board or the Stewards the conditions applying to any race conducted by a Registered Club shall be the conditions approved by Racing SA and published in the Racing Calendar. Adopted 1/05/05
Amended 29/1/21
- 8.4** Without derogating from, or limiting, the provisions of LR.8.3 the Board or the Stewards may, in their sole discretion, in respect of a race meeting or race which was abandoned, determine; **(LR 22A)**
Amended 26/09/02
Amended 1/05/05
Deleted 1/7/11
- 1.** That any horse which accepted for the abandoned race meeting or race may be nominated for another race meeting for which nominations have already closed, irrespective of whether weights have been issued for that meeting but before the closing of acceptances provided that any such horse so nominated shall, notwithstanding the provisions of LR.16, for the purposes of balloting be deemed to be the first to be balloted.
- 2.** In the event a ballot becomes necessary which only involves horses nominated under the permission granted by LR.8.4.1 then such horses shall be balloted in accordance with LR.16.
- 8.5** Unless the Board shall for special reasons otherwise decide, no Registered Club shall conduct a race meeting at which there are less than five races on any one programme at the time of acceptance. **(LR 25)**
- 8.6** Outside the Metropolitan area on and after the First day of October and until the First day of January two-year-olds may start in a handicap race for which horses over the age of two years are eligible to run provided that such race is not over a distance greater than 1200 metres. Two-years-old restrictions on
(LR 32)
Amended 1/05/05
- 8.7** In all races conducted in South Australia the total prizemoney awarded in respect of the win or placing second, third, fourth or fifth of a horse shall be awarded as follows: Division of Prizemoney
(LR 32A)
- | Recipient | Flat Races | | | Hurdles and Steeplechases | | |
|-----------|------------|-------|-----|---------------------------|-------|-----|
| | Win | Place | | Win | Place | |
| | | (1) | (2) | | (1) | (2) |
| Nominator | 85% | 85% | 95% | 80% | 80% | 90% |
| Trainer | 10% | 10% | Nil | 10% | 10% | Nil |
| Rider | 5% | 5% | 5% | 10% | 10% | 10% |
- (1) = Prize for the placing is \$300 or more
(2) = Prize for the placing is less than \$300 and greater than \$100
- 8.8** **1.** Upon cancellation or abandonment of a race meeting prior to closing of acceptances, all nominations shall be refunded. Payment of Refund **(LR 32B)**
Adopted 1/06/01
- 2.** If, on such cancellation or abandonment of a race meeting, deletion of a race or ballot occurs after acceptances, only those that have accepted will be refunded. Amended 1/05/05
- 3.** Refunds will be made to the horse’s trainer at the time of nomination.
- 8.9** Further to the provisions of AR 165: Horses to be on course
(LR 91)
Adopted 1/06/01
Amended 1/3/19
- 1.** all horses intended to be run at a metropolitan race meeting, shall be brought into the saddling paddock at least 2 hours before the time appointed for the start of the race except for those runners engaged in the first race shall be brought

	<p>into the saddling paddock no later than 1 ½ hours prior to the appointed starting time.</p> <p>2. all horses intended to be run in a race at a provincial or country race meeting, shall be brought into the saddling paddock at least 1 hour before the time appointed for the start of the race.</p> <p>3. notwithstanding the provisions of sub-rule 1 and 2, the Chairman of Stewards may direct that all horses intended to run in any race shall be on course no later than three hours prior to the appointed starting time.</p>	<p>Adopted 24/2/12 Amended 2/5/14</p>
<p>8.10</p>	<p>Without the permission of the Stewards, no person, other than a Veterinary Surgeon appointed by Racing SA or the Registered Club conducting a race meeting or official trials, may examine and/or provide treatment to any horse on the racecourse during the conduct of the race meeting or official trials.</p>	<p>Adopted 1/1/06 Amended 1/1/10 Amended 29/1/21</p>
	<p>Any Trainer or other person in breach of this Rule, or otherwise party to a breach of this Rule, may be penalised.</p>	
<p>8.11</p>	<p>Except with the prior permission of the Stewards, a person shall not enter or be permitted to enter the mounting yard at a race meeting other than:-</p> <p>(a) jockeys and apprentices with a riding engagement;</p> <p>(b) trainers with runners at the meeting;</p> <p>(c) trainers who employ an apprentice jockey riding at the meeting;</p> <p>(d) owners with runners in a particular race who have been issued with a mounting yard pass;</p> <p>(e) an Official of the Club conducting the meeting; or</p> <p>(f) an accredited member of the Media.</p>	<p>Effective 1/10/09</p>
<p>8.12</p>	<p>1. No horse shall be permitted to start in any race unless, prior to the advertised time for declaration of acceptance, it has obtained the approval of Stewards following a satisfactory performance in an official trial or jump-out, provided that the Stewards may in their sole discretion allow a horse to gain such approval after the time for declaration of acceptance, but before the day on which the horse concerned is engaged to race.</p>	<p>Effective 1/8/12 Amended 1/2/17 Effective 1/2/17</p>
	<p>2.</p> <p>3. Any horse which, being unruly at the barrier prior to the start of a race or for any other reason, has been suspended by the Stewards shall not be permitted to start again in any race unless, prior to the advertised time for declaration of acceptance, it has obtained the approval of Stewards following a satisfactory performance in an official trial, providing that unless otherwise approved by the Stewards the official trial shall not occur within five clear days from the date such suspension was imposed.</p> <p>4. The decision as to whether any horse has been approved to race in accord with LR.8.12 shall be at the sole discretion of the Stewards or the Starter, if a Steward is not present.</p>	<p>Rescinded 7/12/15</p>
<p>8.13</p>	<p>Except with the prior permission of the Stewards, no person shall enter or be permitted to enter the Jockey's room at a race meeting other than Jockeys and Apprentice Jockeys with a riding engagement at the meeting.</p>	<p>Adopted 1/12/12</p>

SPECIAL CONDITION RACES**LOCAL RULE – 9**

9.1.1	SPECIAL CONDITION RACES IN SOUTH AUSTRALIA Three-Year-Olds Only; Three-Year-Olds & Over or Four-Year-Olds & Over. Handicap conditions or Set Weights. Apprentices can claim in accordance with LR 23.4 (unless otherwise stated).	(LR 132)
9.1.2	For Handicap or Set Weights Special Condition races, the following provisions will apply when establishing eligibility:- 1. No account shall be taken of any race on the flat in the Metropolitan Area of Australia of the advertised value as published by Racing SA from time to time. 2. No account shall be taken of any win in a Maiden Race. 3. Rescinded 23/6/10. 4. Any "Group or Listed Race" win, or any win in a race of which the totally value of the prizemoney is \$100,000 or more shall be deemed to be a Metropolitan win. 5. A win in any overseas country, other than a maiden race, shall be deemed to be a Metropolitan win.	Amended 1/05/05 Amended 27/11/20 Amended 18/11/08 Effective 1/1/09 Amended 29/1/21 Amended 01/10/21 Rescinded 23/6/10
9.1.3	SPECIAL CONDITION - HANDICAP: (Non Metropolitan Winners) For horses which at the time of starting, or such other time as determined by Racing SA, have not won a race on the flat in any Metropolitan Area. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1 - 5 in LR 9.1.2.	Amended 1/04/04 Amended 26/08/22
9.1.4	SPECIAL CONDITION - HANDICAP: (One Metropolitan Win) For horses which at the time of starting, or such other time as determined by Racing SA, have not won more than one race on the flat in any Metropolitan Area. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1 - 5 in LR 9.1.2.	Amended 1/05/05 Amended 26/08/22
9.1.5	SPECIAL CONDITION - HANDICAP: (Two Metropolitan Wins) For horses which at the time of starting, or such other time as determined by Racing SA, have not won more than two races on the flat in any Metropolitan Area. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1 - 5 in LR 9.1.2.	Amended 1/05/05 Amended 26/08/22
9.1.6	SPECIAL CONDITION - HANDICAP: (Non Metropolitan Winners - Last Year) For horses which at the time of starting, or such other time as determined by Racing SA, have not won a race on the flat in any Metropolitan Area within a twelve month period as advertised in the Racing Calendar, or such other period as Racing SA may determine. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1-5 in LR 9.1.2.	Amended 1/05/05 Amended 26/08/22
9.1.7	SPECIAL CONDITION - HANDICAP (One Metropolitan Win - Last Year) For horses which at the time of starting, or such other time as determined by Racing SA, have not won more than one race on the flat in any Metropolitan Area within a twelve month period as advertised in the Racing Calendar, or such other period as Racing SA may determine. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1 - 5 in LR 9.1.2.	Amended 1/05/05 Amended 26/08/22

<p>9.1.8 SPECIAL CONDITION - HANDICAP (Two Metropolitan Wins - Last Year) For horses which at the time of starting, or such other time as determined by Racing SA, have not won more than two races on the flat in any Metropolitan Area within a twelve month period as advertised in the Racing Calendar, or such other period as Racing SA may determine. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1 – 5 in LR 9.1.2.</p>	<p>Amended 1/05/05 Amended 26/08/22</p>
<p>9.1.9 SPECIAL CONDITION - HANDICAP (Three Metropolitan Wins - Last Year) For horses which at the time of starting, or such other time as determined by Racing SA, have not won more than three races on the flat in any Metropolitan Area within a twelve month period as advertised in the Racing Calendar, or such other period as Racing SA may determine. Further provided that, unless otherwise determined by Racing SA, eligibility will also be determined as per clauses 1 – 5 in LR 9.1.2.</p>	<p>Effective 27/11/20 Amended 26/08/22</p>
<p>9.1.10 SPECIAL CONDITION - SET WEIGHTS For non Metropolitan winners (54 kg), winners of one Metropolitan race (56 kg), winners of two Metropolitan races (58 kg).</p> <p>ALLOWANCES:- Three Year Old Colts & Geldings: (1.5kg) from 1st August to 31st January. Three Year Old Fillies: (3kg) from 1st August to 31st January and (2kg) from 1st February to 31st July. Mares: (2kg) all year.</p>	<p>Amended 27/11/20</p>
<p>9.1.11 MAIDEN PLATE – SET WEIGHTS For horses which at the time of starting have not won a race on the flat. Weight scale and conditions for programmes as advertised in the Racing Calendar.</p>	<p>Amended 27/11/20</p>
<p>9.1.12 JUMPING RACES</p> <p>1. For horses which have not won more than one non-metropolitan jumping race in Australia. A horse which has won a jumping race within a metropolitan area of Australia, or more than one jumping race in New Zealand, is not eligible.</p> <p>For the purpose of this Condition, the winner of a jumping race in Tasmania, or a metropolitan race for Maiden jumpers, or a jumping race in Australia carrying prizemoney of less than \$10,000 to the winner will be deemed to be the winner of a non-metropolitan jumping race.</p> <p>2. For horses which have not won more than three non-metropolitan jumping races in Australia. A horse which has won a jumping race within a metropolitan area of Australia, or more than one jumping race in New Zealand, is not eligible.</p> <p>For the purpose of this Condition, the winner of a jumping race in Tasmania, or a metropolitan race for Maiden jumpers, or a jumping race in Australia carrying prizemoney of less than \$10,000 to the winner will be deemed to be the winner of a non-metropolitan jumping race.</p>	<p>Amended 1/05/01</p> <p>Effective 1/08/92 Amended 1/05/01 Amended 27/11/20</p>

STABLE RETURNS

LOCAL RULE – 10

<p>10.1 The lodgment by the Trainer of a Stable Return and any required amendment thereto with a Principal Racing Authority or the Trainers Service Centre as agent shall be deemed to be part of any entry for a race at a Race Meeting in South Australia.</p> <p>1. No horse which is trained in Australia may be nominated for a race or an official trial unless, prior to the closing time of entries for the race or the official trial, its name and particulars have been included on a Stable Return lodged with a Principal Racing Authority or the Trainers Service Centre as agent.</p> <p>2. No entry for a race or an official trial for a horse trained anywhere outside Australia will be complete unless prior to the closing time of entries for the race or the official trial, its name and particulars have been included on a Stable Return lodged with a Principal Racing Authority or the Trainers Service Centre as agent. The entry for any horse for which a Stable Return has not been lodged may be rejected.</p> <p>3. Provided that if entries for a race close more than 60 days before the advertised date for the running of the race a Stable Return must be lodged with a Principal Racing Authority or the Trainers Service Centre as agent prior to the time for the first declaration of acceptances for such race. Any entry for which a Stable Return is not lodged at that time becomes void.</p>	<p>Lodgment of Stable Return (LR 35)</p> <p>Adopted 1/05/05</p>
<p>10.2 1. When a Stable Return has been lodged and an amendment thereto is required, the trainer shall amend the Stable Return immediately –</p> <p>(a) when any particulars on the original Stable Return have changed; or (b) upon a horse either entering or leaving his/her stable.</p> <p>2. The Stewards may penalise any Trainer who fails to lodge an amendment referred to in LR 10.2.1.</p>	<p>Amendment to Stable Return Adopted 1/05/05</p> <p>Amended 1/1/10</p>

NOMINATIONS AND ENTRIES

LOCAL RULE – 11

11.1.	1. If the Board orders that the entry of any particular horse to be not received or be rejected or, if entered, that it be scratched, it may direct that the terms of such order be carried out by all Registered Clubs and by the nominator but it may exempt from the order specified Clubs or Clubs in a specified area.	Rejection of entry (LR 34(a)) Amended 1/05/05
	2. If any horse shall run in a race contrary to any order of the Board made under the Rules, such horse shall ipso facto be disqualified for and be incapable of winning the race or any part of the prizemoney provided for such race and the Stewards may (i) disqualify such horse; and (ii) penalise the owner or trainer or both of them.	(LR 34(b)) Amended 1/1/10
	3. When at normal closing time, or such other time as directed by Racing SA, there are twenty (20) or less entries for any TAB meeting conducted at a South Australian racecourse, further nominations may be accepted, at the standard fee for any South Australian race, up to 10.00am the following day or such other time as determined by Racing SA.	Extended Nominations TAB meetings (LR 34(c)) Amended 1/05/05 Amended 1/9/14 Amended 29/1/21
	4. When at normal closing time, or such other time as directed by Racing SA, there are ten (10) or less entries for any Non-TAB meeting conducted at a South Australian racecourse, further nominations may be accepted, at the standard fee for any South Australian race, up to 10.00am the following day or such other time as determined by Racing SA.	Extended Nominations Non TAB meetings (LR 34(d)) Amended 1/05/05 Amended 1/9/14 Amended 29/1/21
	5.	Meeting Extended (LR 34(e)) Deleted 1/9/14
	6. For any race in South Australia, other than a Group or Listed race, a horse not nominated at the time of close of nominations may be nominated prior to 10.00 am on the day following the advertised closing time for nominations, or at such other time as determined by Racing SA, upon payment of a fee calculated at double the standard nomination fee applicable from time to time.	Adopted 26/10/06 Amended 1/9/14 Amended 29/1/21
	7. In the event the entry for any horse is omitted from the nominations for any race or an error has occurred in the recording of an entry, subject to the approval of the Stewards, such horse may be included at any time up to three hours from the time of declaration of weights.	Effective 15/3/09
11.2	1. Any person entering or having entered a horse for any race may be required by the Board or the Stewards to declare by statutory declaration the truth of all particulars contained in the entry.	Statutory Declaration (LR 36) Amended 1/05/05
	2. If such person shall fail to make such declaration or it shall be proved to the satisfaction of the Stewards that the declaration or any part thereof is untrue then- (i) the Board or the Stewards may declare that the entry of such horse is invalid; (ii) such person may be penalised by the Stewards; (iii) all stakes, entrance monies or fees paid for or in respect of such entry shall be forfeited to the Club.	Amended 1/1/10
11.3	The nomination of any horse, which becomes ineligible for a race between the time of entry closing and declaration of weights for that race may be transferred on advice from the trainer or nominator to another race on the same programme. Transferred horses must be notified before 10.00 am on the day of declaration of weights. For the purposes of LR 16.1 such entries will be deemed to be extended entries.	Transferring ineligible entry (LR 30A) Amended 1/8/91 Amended 1/05/05
11.4	1. Unless otherwise permitted by the Stewards, a horse entered for an official trial must be stabled at premises from which the trainer is licensed to train no less than six (6) days prior to the advertised date of the official trial.	Stabling prior to official trial Adopted 1/10/06

<p>2(a) Unless otherwise permitted by the Stewards, a horse entered for any race from the time of entry to its arrival on course prior to racing, must be stabled on premises from which the trainer is licensed to train.</p>	<p>Stabling prior to race Adopted 1/10/06</p>
<p>2(b) Provided that where a horse is entered for a race for which the entries close more than fourteen (14) days prior to the advertised date of the running of such race, the horse must be stabled on premises from which the trainer is licensed to train at least six (6) clear days from the advertised date of such race.</p>	
<p>2(c) Further to the provisions of this Rule, where a trainer is licensed to train from more than one premises, unless otherwise permitted by Stewards, a horse entered (“<i>Entry</i>”) for any race or trial must remain stabled at the premises declared on the stable return at the time of Entry until the completion of the race or trial the subject of the Entry.</p>	<p>Effective 1/2/16</p>
<p>3. For the purpose of this rule, a horse is “stabled” if it is stabled, yarded, paddocked or otherwise confined in any manner for any period of time, but does not apply to a horse that is being transported.</p>	<p>“Stabled” Adopted 1/10/06</p>
<p>4. The trainer and any other person who was in charge of a horse at a time relevant to this Rule and who in the opinion of the Stewards has breached, or was a party to breaching Local Rule 11.4 may be penalised, and the horse concerned may be withdrawn from any race or disqualified.</p>	<p>Adopted 1/10/06 Amended 1/1/10</p>
<p>11.5 Unless otherwise permitted by the Stewards, a person must not enter or permit a horse to run in any race or official trial –</p>	<p>Horse must be trained for 28 days before a race or trial Adopted 1/10/06</p>
<p>(a) unless the horse has been trained for the period of 28 days immediately prior to the advertised date of the running of the race or official trial by a person licensed under the Rules.</p>	
<p>(b) where, during the same period, the horse has been stabled overnight at premises that are not licensed under the Rules or in the control of the trainer.</p>	

NOMINATION AND ACCEPTANCE FEES

LOCAL RULE – 12

<p>12.1. In respect of the race meetings specified in this Rule the following nomination and acceptance fees shall apply:-</p> <p> 1. Nomination - For a race of less than \$25,000.....\$44 For a race of \$25,000 but less than \$80,000.....\$66 For a race of \$80,000 or more.....\$88</p> <p> 2. Acceptance - For a race of less than \$80,000.....Nil For a race of \$80,000 or more.....\$6.60 per \$1,000</p> <p> 3. Notwithstanding the provisions of this rule, Racing SA reserves the right, in its sole discretion, to not apply an acceptance fee for a particular race.</p>	<p>Nomination fees (LR 30)</p> <p>Amended 28/1/11 Amended 30/8/13</p> <p>Amended 28/1/11</p> <p>Adopted 27/11/20 Amended 29/1/21</p>
<p>12.2</p> <p> 1. Fees in respect of nomination, acceptance or scratching must be paid at such time and in such manner as is prescribed by Racing SA.</p> <p> 2. Fees unpaid at the time prescribed by Racing SA may be recovered from the trainer of the horse in respect of the race to which the fee relates.</p> <p> 3. Where fees have not been paid in the prescribed manner Racing SA reserves the right to not accept further entries or acceptances from the defaulting trainer until such time as all overdue amounts have been paid.</p>	<p>Payment of Acceptance or Scratching fees (LR 33)</p> <p>Amended 1/05/05 Amended 29/1/21</p>

WEIGHTS PENALTIES AND ALLOWANCES

LOCAL RULE – 13

<p>13.1. In Handicap Races in South Australia, unless otherwise approved by Racing SA in accordance with the provisions of AR 167, the minimum weight shall be not less than:</p> <ol style="list-style-type: none">1. All flat races - 54 kg;2. Deleted;3. Group 3 and Listed races;4. Group 1 – 52 kg: & Group 2- 53 kg;5. Deleted.	<p>Minimum weights (LR 86) Amended 1/01/05 Amended 1/1/06 Amended 30/9/11 Amended 30/9/11 Amended 1/1/06 Amended 30/9/11 Amended 1/1/06 Amended 26/10/06 Amended 30/9/11 Amended 1/05/00 Amended 22/2/07 Amended 1/02/09 13.1.6 deleted 23.12.08 Amended 11/03/22</p>
<p>13.2. The top weight allotted in any Handicap in South Australia shall be not less than:</p> <ol style="list-style-type: none">1. <i>[Rescinded 28/1/11]</i>2. All Jumping events, 70 kg.	<p>Top weight at allocation of weights (LR 87) Amended 26/10/06 Rescinded 28/1/11 Amended 1/02/09 Amended 25/1/13 Quality handicaps (LR 87A) Amended 22/3/13</p>
<p>13.3 1. The top weight allotted in any Quality Handicap in South Australia shall not be more than 8 kg. above the minimum weight, which shall be determined at the discretion of the handicapper.</p> <p>2. For the purpose of LR.13.3.1 and LR.23.4 a Quality Handicap shall have the following meaning -</p> <p style="padding-left: 40px;">A Quality Handicap is a handicap race for which the maximum top weight for a race on the flat is limited to 62kg, and for a jumps race is limited to 70kg. In South Australia, for races on the flat, all “Black Type” handicap races, i.e. Group and Listed races, are included. Notwithstanding this qualification, Racing SA may from time to time, in its sole discretion, declare any other event to be a Quality Handicap if deemed appropriate in the circumstances.</p>	<p>Effective 22/3/13 Amended 29/1/21</p>
<p>13.4. 1. If at the time of the final declaration of acceptance the highest weight to be carried in a Maiden Handicap race, or any division thereof (including any extra weight by way of re-handicap or penalty) is less than 58.0 kg such weight shall be raised to 58.0kg accordingly and the other weights increased by the same amount. Emergency acceptors shall be taken into account for the purpose of applying the provisions of this Rule.</p> <p>2. If at the time of final declaration of acceptance the highest weight to be carried in any Handicap flat race, (other than a Maiden Handicap see LR 13.4.1) or any division thereof (including any extra weight by way of re-handicap or penalty) is less than 58.0 kg such weight shall be raised to 58.0kg accordingly and the other weights increased by the same amount (proportional increase). Provided that where a horse is weighted on the declared minimum as specified in LR13.1.1, LR13.1.3 or LR13.1.4, as may be applicable, but would otherwise be allocated a lesser weight in the absence of the declared minimum, then the proportional increase shall be applied to the lesser weight.</p> <p>Further provided that where the sum of the lesser weight and the proportional increase is less than the declared minimum, any such horse shall be weighted at the declared minimum.</p> <p>For the purpose of this Rule “lesser weight” means the weight allocated to a horse based on the rating of the horse. Emergency acceptors shall be taken into account for the purpose of applying the provisions of this Rule.</p>	<p>Top weight at time of acceptance (LR 88) Amended 1/05/05 Amended 26/10/06 Amended 30/9/12 Amended 1/1/16 Amended 11/03/22 Effective 1/1/16 Amended 11/03/22</p>

13.5

If at the time of final declaration of acceptance, the highest weight to be carried in a Handicap Jumping race, or any division thereof (including any extra weight by way of re-handicap or penalty) is less than 68 kg, such weight shall be raised to 68 kg accordingly and the other weights increased by the same amount (proportional increase). Provided that where a horse is weighted on the declared minimum as specified in LR.13.1.5, but would otherwise be allotted a lesser weight in the absence of the declared minimum, then the proportional increase in weight shall be applied to the lesser weight. Further provided that where the sum of the lesser weight and the proportional increase is less than the declared minimum, any such horse shall be weighted at the declared minimum. For the purpose of this Rule “lesser weight” means the weight allocated to a horse based on the rating of the horse. Emergency acceptors shall be taken into account for the purpose of applying the provisions of this Rule.

Top weight Jumping races
(LR 89)
Amended 1/02/09
Amended 1/2/17
Effective 1/2/17

DECLARATION OF ACCEPTANCE

LOCAL RULE – 14

14.1.	The procedure known as "Declaration of Acceptance" in accordance with this Rule shall apply to all Race Meetings in South Australia.	Times and method of Declaration (LR 93(1))
1.	Notice of declaration shall be advised by the nominator or trainer or by the authorised agent of either of them to the Trainers Services Centre, Racing Victoria Limited. The nomination of any horse not declared an acceptor at the prescribed time shall lapse.	Amended 29/1/21
2.	At the discretion of Racing SA the declaration of acceptance time may be further extended up to thirty minutes for any race that receives 8 or less acceptors at the time of acceptance.	Amended 29/1/21
14.2.	Provided that if any horse be omitted from the list of acceptances at the time of Declaration of Acceptance, such horse may, subject to the approval of the Stewards, be reinstated in the race at any time up to three hours after the time set down for such Declaration.	Omission from acceptance (LR 93(2)) Amended 1/05/05
14.3.	No horse shall be declared an acceptor for more than one race for any race meeting.	Dual Acceptance (LR 93(3))
14.4	1. In the event of any horse being declared an acceptor for races contrary to LR 14.3, such horse shall be eliminated at the discretion of Racing SA.	(LR 93(4)) Amended 1/05/05 Amended 29/1/21
2.	The nominator of any horse declared an acceptor contrary to LR 14.3 will be liable for the scratching fee for any race from which the horse is eliminated and such further penalty as the Stewards may impose.	
14.5	1(a) Notwithstanding anything mentioned in LR 14.3, Racing SA may direct that any horse which has been declared as an acceptor for a race which is deleted from a programme on the day of declarations may be allocated to another event for which it had been nominated.	Amended 1/9/14 Amended 29/1/21
	1(b) Notwithstanding anything mentioned in LR 14.3, Racing SA may direct that any horse which has been declared as an acceptor for a race which is abandoned/cancelled may be nominated for a future event, for which nominations have already being taken, provided;	
	(i) Racing SA extends nominations for the future event; and	
	(ii) Weights for the future event have not been finalised and issued; and	
	(iii) Such horse will, for balloting purposes, be considered an extended nomination within the meaning of LR11.1.3 and LR11.1.4.	
	2. Upon notification from the nominator a horse which becomes an emergency acceptor for a Group 1, 2 or 3 race may be declared an acceptor in another race at the same meeting for which it has already been nominated. In such circumstances the appropriate scratching fees apply.	

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| <p>14.6 The nominator of a horse withdrawn from a race after declaration of acceptance for such race shall be liable for the scratching fee as prescribed by the Board or the acceptance fee whichever is the greater.</p> <p>Provided that no fee shall be payable if a horse is withdrawn upon production of a Veterinary Certificate acceptable to the Stewards, and produced to the Stewards within a period of 48 hours after the said horse has been withdrawn, or if in the opinion of the Stewards it is found that due to the circumstances surrounding the withdrawal of a horse no fee should be payable.</p> <p>Where the conditions of a race provide that a fee shall be lodged with the final declaration such fee may be refunded in the event of the horse being withdrawn in accordance with this proviso.</p> | <p>(LR 93(5))</p> |
| <p>14.7 The provisions of LR 13.5 and LR 17.1 shall be read, construed, and take effect subject to the provisions of this Rule.</p> | <p>(LR 93(6))
Amended 1/03/05</p> |
| <p>14.8 In addition to LR 34 no liability of any nature shall be incurred by the Board or the Committee of any Club by reason of error or failure in dealing with acceptances on the part of the Club or its servants or agents, which error or failure results in a horse not starting in a race, but the Club in such circumstances shall refund any fee paid.</p> | <p>No Liability for error
(LR 94)
Amended 1/03/05</p> |

DIVISION OF RACES

LOCAL RULE – 15

<p>15.1. (a) Racing SA may determine to run a race in divisions and in that event the horses shall be allotted to the divisions by means of a device, system or method approved by Racing SA.</p> <p>(b) Such divisions shall be run in such order as Racing SA may decide and the division which is run first shall be referred to as the first division and so on.</p> <p>(c) Notwithstanding the above sub-Rule, if two or more horses are entered by the same nominator (either alone or jointly with another person) or are trained by the same trainer, Racing SA may allot such horses (as far as possible) to different divisions and, in such event, may place any other horse in any division for the purpose of having an equal number of horses (as far as possible) in each division.</p> <p>(d) A trainer with more than two acceptors may state preferences for two of his nominations before acceptances close.</p> <p>(e) If it is determined to run a race for two-years-old horses in divisions, Racing SA may, instead of applying the two preceding sub-Rules, place the colts and geldings in one division and the fillies in another division.</p> <p>(f) In any case not provided for by this Rule, the decision of Racing SA shall be binding.</p>	<p>Method of Dividing races (LR 41) Amended 29/1/21</p> <p>Amended 29/1/21</p> <p>Amended 29/1/21</p> <p>Amended 29/1/21</p> <p>Amended 29/1/21</p>
<p>15.2 If on the day of the race meeting the Stewards are of the opinion that any race should be divided in the interests of safety the Stewards may allot the horses then remaining in such race in two or more divisions in the manner provided in LR 15.1; provided however that this Rule shall not apply at any meeting upon which the SA TAB Pty Ltd is operating.</p>	<p>Stewards may Order division of race (LR 42)</p>
<p>15.3 If in the allotment of the horses entered for any race into divisions any error is made by Racing SA or the Stewards no legal liability shall be incurred by Racing SA or the Stewards to the nominator of any horse or to any other person in consequence thereof.</p>	<p>No legal Liability (LR 43) Amended 29/1/21</p>
<p>15.4 Racing SA will set the minimum prizemoney to be paid for particular classes of races. Racing SA will publish in the Racing Calendar any change to the minimum prizemoney to be paid. If a race is divided, the prizemoney paid for each division will be calculated under the formula published in the Racing Calendar.</p> <p>If a Club wishes to set prizemoney levels lower than the published minimum prizemoney, or to divide a race and not calculate the prizemoney to be paid for each division under the published formula, then it must apply to Racing SA for prior approval. The application must have with it a full submission supporting the Club's position.</p>	<p>Minimum prizemoney (LR 44) Amended 29/1/21</p>
<p>15.5 The aggregate prizemoney for a divided race shall be allotted equally between the divisions and the placed horses in each division shall participate in the prizemoney allotted to the division in the proportions stipulated in the conditions of the original race.</p>	<p>Added Prizemoney (LR 45)</p>

BALLOTING CONDITIONS

LOCAL RULE – 16

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| <p>16.1 Where in the opinion of Racing SA it is necessary or expedient in the interests of safety to reduce the number of horses which may start in a race, such reduction shall be effected in accordance with the conditions in that behalf specified at the time of nomination or, if no such conditions be specified, in accordance with the remaining provisions of this Rule.</p> <ol style="list-style-type: none">1. For any race where a ballot is necessary extended entries (in accordance to LR 11.1.3, LR 11.1.4 and LR 11.1.5) shall be first to be balloted before those nominated prior to original closing of entries. For the purpose of this Rule any horse transferred due to deletion of race at time of weights shall be regarded as an original entry.2. 'Breeders' and/or 'vendors' bonuses and unplaced runners subsidies will not be taken into account when applying balloting conditions.3. Allowance to fillies and mares and three year olds, in races in which horses three year old and over may enter, to be shown on the weight slip as thus + (plus the allowance to be added to their weight for the purpose of the ballot).4. Provided that any race run in any Metropolitan area (as defined by the Rules of a principal Racing Authority) for which the advertised prizemoney to the winner is less than \$12,000 shall not be considered a Metropolitan race.5. For the purpose of this Rule any race run in any overseas country (other than a maiden race) shall be deemed to be held in the Metropolitan area.6. Provided that Racing SA, in its absolute discretion, may specify balloting clauses for any race provided the clauses are printed in the Racing Calendar.7. For any race where a ballot is necessary, horses nominated in accordance with LR.11.1.6 shall be deemed to be a nomination lodged prior to the advertised closing time for nominations.8. For the purpose of this Rule, prizemoney shall not include prizemoney earned -<ol style="list-style-type: none">(a) at any picnic racing meeting,(b) in any race in which a horse has not been placed first, second, third, fourth of fifth. | <p>Ballots
(LR 38)
Amended 29/1/21</p> <p>Amended 1/05/05
Amended 1/1/10</p> <p>Adopted 1/05/05</p> <p>Adopted 26/10/06
Amended 29/1/21</p> <p>Adopted 30/9/08</p> |
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16.2 All Handicap Races

The field for all Handicap races (including Jumping Handicaps) will appear on the official weight sheet with a rating allotted at the discretion of the Handicapper. After the declaration of weights, the rating may be adjusted for a horse which has won a race(s) between the declaration of weights and the time for final acceptances.

In the event a ballot is required to eliminate acceptors in order to achieve the field limit prescribed, horses shall be balloted in the following order –

- Horses with the lowest rating shall be eliminated first.
- Where two or more horses have the same rating, the older horse shall be eliminated before the younger horse.
- Where two or more horses have the same rating and are of the same age, the horse which has earned the least amount of prize on the flat shall be eliminated first.
- Where two or more horses have the same rating, are of the same age, and have earned the same amount of prize on the flat, a ballot shall be conducted in a manner determined by Racing SA and approved by the Stewards.”

16.3 Rescinded 25/1/13

16.4 Rescinded 1/7/08

16.5 Rescinded 25/1/13

16.6 1. All Set Weight Races (excluding Weight-For-Age, and three year old Group and Listed Set Weight Races run over 1600 metres or further).

The field for all Set Weight races, (excluding Weight-For-Age, three year old Group and Listed Set Weight Races run over 1600 metres or further) will appear on the official weight sheet with a rating allotted at the discretion of the Handicapper. After the declaration of weights, the rating may be adjusted for a horse which has won a race(s) between the declaration of weights and the time for final acceptances.

In the event a ballot is required to eliminate acceptors in order to achieve the field limit prescribed, horses shall be balloted in the following order –

- Horses with the lowest rating shall be eliminated first.
- Where two or more horses have the same rating, the older horse shall be eliminated before the younger horse.
- Where two or more horses have the same rating and are of the same age, the horse which has earned the least amount of prize on the flat shall be eliminated first.
- Where two or more horses have the same rating, are of the same age, and have earned the same amount of prize on the flat, a ballot shall be conducted in a manner determined by Racing SA and approved by the Stewards.

2. Weight-For-Age Races

The field for all Weight-For-Age races will appear on the official weight sheet with a rating provided in brackets. The rating will be provided at the discretion of

(LR 38(a))
Amended 1/05/05
Amended 1/07/08
Amended 25/01/13
Amended 29/1/21

(LR 38(b))
Amended 1/05/05

Amended 26/10/06
(LR 38(c))
Amended 1/05/05

(LR 38(d))

(LR 38(e))
Amended 1/05/05
Amended 26/10/06
Amended 29/1/21

Adopted 26/10/06
Amended 25/1/13
Amended 25/1/13
Amended 29/1/21

the Handicapper and after the declaration of weights, may be adjusted for a horse which has won a race(s) between the declaration of weights and the time for final acceptances.

In the event a ballot is required to eliminate acceptors in order to achieve the field limit prescribed, horses shall be balloted in the following order –

Horses with the lowest rating shall be eliminated first.

Where two or more horses have the same rating, the older horse shall be eliminated before the younger horse.

Where two or more horses have the same rating and are of the same age, the horse which has earned the least amount of prize on the flat shall be eliminated first.

Where two or more horses have the same rating, are of the same age, and have earned the same amount of prize on the flat, a ballot shall be conducted in a manner determined by Racing SA and approved by the Stewards.

16.7 Three year old Group & Listed Set Weight Races run over 1600 metres or further.

Amended 1/05/05
Amended 25/1/13
Amended 29/1/21

The field will appear on the official weight sheet with a rating allotted at the discretion of the Handicapper. After the declaration of weights, the rating may be adjusted for a horse which has won a race(s) between the declaration of weights and the time for final acceptances.

To eliminate acceptors in order to achieve the field limit prescribed, horses shall be balloted in the following order –

FIRST OUT - Horses which have not been placed First, Second, Third or Fourth in a Group race run over 1600 metres or further.

SECOND OUT - Horses which have been placed First, Second, Third or Fourth in a Group race run over 1600 metres or further.

Provided that in the event a ballot is required to eliminate acceptors which are otherwise equally qualified in either category above, in order to achieve the field limit prescribed, horses shall be balloted in the following order –

- Horses with the lowest rating shall be eliminated first.
- Where two or more horses have the same rating, the older horse shall be eliminated before the younger horse.
- Where two or more horses have the same rating and are of the same age, the horse which has earned the least amount of prize on the flat shall be eliminated first.
- Where two or more horses have the same rating, are of the same age, and have earned the same amount of prize on the flat, a ballot shall be conducted in a manner determined by Racing SA and approved by the Stewards.

16.8 Set Weight and Penalties Races.

(LR 38(f))
Amended 25/1/13

The field will appear on the official weight sheet with a rating allotted at the discretion of the Handicapper. After the declaration of weights, the rating may be adjusted for a horse which has won a race(s) between the declaration of weights and the time for final acceptances.

To eliminate acceptors in order to achieve the field limit prescribed, horses shall be balloted in the following order –

FIRST OUT - Horses which have not received a penalty.

SECOND OUT - Horses which have received the least penalty.

Provided that in the event a ballot is required to eliminate acceptors which are otherwise equally qualified in either category above, in order to achieve the field limit prescribed, horses shall be balloted in the following order –

- Horses with the lowest rating shall be eliminated first.
- Where two or more horses have the same rating, the older horse shall be eliminated before the younger horse.
- Where two or more horses have the same rating and are of the same age, the horse which has earned the least amount of prize on the flat shall be eliminated first.
- Where two or more horses have the same rating, are of the same age, and have earned the same amount of prize on the flat, a ballot shall be conducted in a manner determined by Racing SA and approved by the Stewards.

16.9 Rescinded 25/1/13

Amended 29/1/21

(LR 38(g))
Amended 1/1/06
Amended 26/10/06

16.10 Maiden Set Weight Races and Class 1 Set Weight Plates in all areas of South Australia

To eliminate acceptors in order to achieve the field limit prescribed, horses shall be balloted in the following order –

FIRST OUT – Raced horses which have not earned prize in their last two starts on the flat.

SECOND OUT - Raced horses with the greatest number of consecutive runs since earning prize shall be eliminated according to age, the oldest first and in the case of horses of the same age, elimination shall be by ballot.

For the purpose of this rule raced horses which have not earned prize in their last two starts on the flat will be considered equally with raced horses which have had two or less starts on the flat and have not earned prize at any of those starts.

THIRD OUT – Raced horses which have earned the lowest aggregate prize in their last two starts on the flat. Horses which have equal aggregate prize shall be eliminated by ballot.

FOURTH OUT – Unraced horses which shall be eliminated by ballot.

The order of ballot shall be shown on the official weights sheet. The order shown shall be used for balloting, with the highest numbered horse eliminated first, followed by the second highest numbered horse, etc., until the required limit is reached.

For the purpose of this Rule, horses with the same number shall be eliminated by ballot shall be conducted in a manner determined by Racing SA and approved by the Stewards.

16.11 Rule Rescinded 24/2/10.

(LR 38(h))
Amended 1/05/05
Amended 29/6/12
(Effective 1/8/12)
Amended 25/1/13
Heading amended
27/3/15
Amended 29/1/21

Country Exemption
(LR 38(i))
Rescinded 24/2/10

16.12 Whenever any reduction of runners is made pursuant to LR 16 the number of emergency acceptors for any race shall not exceed four, unless exemption is granted by the Racing SA.

An emergency acceptor shall for all purposes of these Rules be deemed to be an acceptor.

Emergency acceptors shall be included in the draw for barrier positions.

Provision for emergencies
(LR 39)
Amended 29/1/21

16.13 In addition to LR 34 no legal liability shall be incurred by the nominator of any horse or to any other person in consequence of or in any way arising out of any error occurring in the elimination of any horse from a race or by reason of any departure (whether intentional or otherwise) from the provisions of LR 16.

No legal Liability
(LR 40)

BARRIER DRAW

LOCAL RULE – 17

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| <p>17.1 Barrier positions for all races in South Australia shall be drawn by lot by means of a device or system approved by Racing SA.</p> <p>17.2 Unless permission is otherwise granted by the Stewards, the barrier draw for a race shall take place within two hours after the closing time for declaration of acceptances.</p> <p>17.3 The draw for barrier positions for the runners shall be carried out by Racing SA:</p> <ol style="list-style-type: none">1. in the office of Racing SA or a place approved by Racing SA; or2. in a public place that is and in a manner that are approved by the Stewards. <p>17.4 If any horse which should not have been included in the draw is so included or if any horse is scratched or if any horse is withdrawn by the Stewards or if the entry of any horse is rejected after the draw, the starters shall take their relative positions as determined by the barrier draw.</p> <p>17.5 Where any horse omitted from the barrier draw is included in accordance with LR 14.2, the barrier position of the horse included shall be determined by a lot drawn under the supervision of the Stewards from the total number of horses which have accepted for the race.</p> <ol style="list-style-type: none">1. The horse in the original barrier draw with the same barrier position and all horses drawn outside that horse shall be moved out one position to accommodate the horse previously omitted.2. If, by the inclusion of the omitted horse, a ballot become necessary, any horse then balloted out shall be deemed for the purpose of this Rule only to have been scratched after the barrier draw.3. Provided, however, that should it be necessary for the balloted horse to be eliminated from the field, then prior to any further horses being re-included, the barrier positions for all other horses shall be adjusted as if the horse to be eliminated had not originally been included in the field.4. Should it be established following the determination of the barrier draw that a horse be listed in the incorrect field order, no alteration shall be made to the barrier draw of any horse irrespective of whether or not any alteration is subsequently made to the race field order.5. None of the foregoing shall, in circumstances they deem appropriate, prevent the Stewards from ordering that all barriers be redrawn. | <p>Method of barrier draw
Adopted 1/05/05
Amended 29/1/21</p> <p>Amended 29/1/21</p> |
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DECLARATION OF RIDERS

LOCAL RULE – 18

18.1.	1. The nominator or trainer (or authorised agent of either of them) of a horse engaged in any race conducted in South Australia on which SA TAB Pty Ltd operates shall declare the name of the rider to the Trainers Services Centre, Racing Victoria as agent, or any other party appointed by Racing SA for the purpose, by the time prescribed in the Racing Calendar and, for an emergency runner, before 7.30 am on the morning of the race, or such other time as the Board shall direct.	Time of declaration of rider (LR 95) Amended 1/10/02 Amended 29/1/21
	2. The nominator or trainer (or authorised agent of either of them) of a horse engaged in any race in the Country Area on which the SA TAB Pty Ltd does not operate shall declare the name of the rider to the Secretary of the Club conducting the meeting or other official appointed for the purpose, before 4.00 pm on the day of Declaration of Acceptance, for an emergency runner, for 7.30 am on the morning of the race, or such other time as the Board shall direct.	
	3. In exceptional or deserving circumstances, an extension of time may be allowed by the Stewards.	Extension of time Amended 1/8/92
	4. Failing any such declaration the Stewards may order the withdrawal of the horse and the nominator or trainer may be penalised.	Failure to declare Amended 1/05/05 Amended 1/1/10
	5. Should a rider be declared for more than one acceptor in any event, the Stewards may determine which runner he/she shall ride and any person responsible may be penalised.	Rider may be punished Adopted 1/05/05 Amended 1/1/10
	6. Once a rider has been so declared no other rider may be substituted without the permission of the Stewards.	Substitute rider
	7. The nominator or trainer (or authorised agent of either of them) of a horse engaged in any race shall not declare the name of a rider in the manner prescribed by this Rule unless there is express agreement from that rider (or his authorised representative) to accept the engagement. Any person responsible for the declaration of a rider who has not accepted the engagement may be penalised.	No firm engagement Adopted 15/4/08 Amended 1/1/10

TRAINERS

LOCAL RULE – 20

<p>20.1 No horse shall be eligible to be entered for a race unless the trainer thereof holds a trainer's licence or a permit from a Principal Authority to train such horse.</p>	<p>How allotted (LR 46) Amended 1/05/05</p>
<p>20.2 No person shall train any horse for or in expectation of fee or reward unless he shall hold a Trainer's Licence or Permit to Train from the Board.</p>	<p>Horses to be trained by Licensed Trainers (LR 47)</p>
<p>20.3 Every applicant for a Trainer's Licence or Permit (or any renewal thereof) who for the purpose of such licence or permit has at the time of such application, or is likely to have during the currency of the licence or permit, a person or persons in his employment (whether full time, casual or otherwise), shall forward to Racing SA a certificate showing that he has registered as an Employer under the Workers Rehabilitation Compensation Act 1986, together with documentary evidence of a Public Liability Insurance Cover, for a minimum amount as required from time to time by the Board.</p>	<p>Trainer to be licensed by Principal Club (LR 48) Amended 29/1/21</p>
<p>20.4 1. Subject to the Rules of Racing it shall be a pre requisite to the grant of a trainer's licence, or a permit to train, in any of its categories ("Licence") that the person applying for same, on application to the Board, satisfies the Board that he or she;</p> <ul style="list-style-type: none">(a) has qualifications, training units and/ or experience levels approved or recognised by the Board for the purposes of the grant of such Licence; and(b) has met the requirements of, whether stated in a policy of, or otherwise determined by, the Board to be necessary for the purposes of the grant of a Licence in the category the subject of an application; and(c) is medically fit to carry out the roles, duties and responsibilities inherent in the Licence if granted; and(d) is, unless exempted by the Board, insured or indemnified in a manner and to an extent approved by the Board against all civil liabilities that might be incurred by the person in connection with the carrying out of the roles, duties and responsibilities inherent in the Licence if granted including the matters in LR 20.3; and(e) is a fit and proper person to be licensed. <p>2. Every application for a Licence shall be made on the form prescribed for that purpose by the Board and shall be accompanied by a fee as prescribed by the Board. Any such fee shall be returned to the applicant if the application not be granted.</p> <p>3. The matters set forth in 20.4.1 (a) – (e) are continuing elements and apply to each application for a renewal of a Licence and during the term of every Licence.</p> <p>4. The Board may from time to time prepare and cause to be published, and/or amend, a policy which prescribes –</p> <ul style="list-style-type: none">.(a) educational qualifications, training units and experience levels which shall be attained as a pre-requisite to the grant of a licence to train; or.(b) any other pre-requisite or requirement for the grant of a Licence as it deems appropriate. _or such other policies or procedures relevant to licensing as it determines in the exercise of its discretion.	<p>Trainer's Licence Fee (LR 49) Amended 1/05/05 Amended 1/12/12 Amended 1/2/19</p>
<p>20.5 Subject to these Rules and or any conditions attaching to it, a Licence shall remain in force during the Racing Year in or for which it is granted and expires at the end of that Racing Year.</p>	<p>Duration of licence (LR 50) Amended 1.12.12</p>

<p>20.6. In connection with an application for a Licence (including any renewal), the Board may in its absolute discretion and without assigning any reason therefore;</p> <ol style="list-style-type: none"> 1. grant, or if the Board is not in its opinion satisfied that each of the requirements of LR 20.4.1 have been established, refuse any Licence; 2. grant any Licence upon such conditions as the Board may deem fit to impose; 3. revoke, cancel or suspend any Licence during its currency and before the period for which the same was granted shall have expired. 4. Deleted 	<p>Board may grant or refuse (LR 51) Amended 1.12.12</p>
<p>20.7</p> <ol style="list-style-type: none"> 1. A Trainer shall at all times be responsible for the administration and proper conduct of his/her stables. 2. A Trainer shall at all times be responsible for the care, control and supervision of the horses in his stable. 3. A Trainer absent from their stables for a period longer than forty-eight (48) hours shall, with Stewards' permission and approval, appoint a licensed or registered person to be in charge of such stables during their absence. Such appointment shall not relieve in any way the Trainer from their responsibilities for the care, control and supervision of their horses and the conduct of their stables. The person to whom such responsibility is delegated shall not have the authority to further delegate this responsibility. <p>However, despite the foregoing, a registered Stable Foreperson may supervise a Trainer's stable without notification.</p> <ol style="list-style-type: none"> 4. A Trainer shall notify Racing SA as soon as practicable in the event that – <ol style="list-style-type: none"> (a) The Trainer ceases to train; or (b) The Trainer ceases to meet any of the requirements set out in LR 20.4.1; or (c) A material or significant change occurs in the Trainer's financial affairs which may adversely affect the capacity of the trainer to pay his or her debts when they fall due; or (d) The Trainer (or training partnership) ceases to train the required minimum or maximum number of horses; or (e) The Trainer ceases to meet any condition stipulated in the grant or continuation of the licence; or (f) The Trainer is charged with an indictable criminal offence; or (g) The Trainer is convicted of an indictable criminal offence; or (h) The Trainer changes their place of residence; or (i) The Trainer changes the location of their stables <p>(all called "Events") and the Board in the case of any of the Events may, in its discretion, review the continuation of the Licence or its terms and conditions.</p>	<p>Amended 27/9/13 Trainers absent from stables (LR 51A) Amended 27/9/91</p> <p>Amended 1/05/05 Amended 1/12/12</p> <p>Adopted 1/12/12 Amended 29/1/21</p>

20.8	Should a Trainer be unable to attend a race meeting while horses being trained by him/her are running at such meeting, he/she shall delegate his/her Stable Foreman or another licensed person (approved by the Stewards) to be responsible for the presentation of his/her horses at that meeting, and shall, not less than one hour before the advertised starting time of such race, notify the Stewards of the meeting, the name of the person to whom he has delegated this responsibility. The person to whom responsibility is delegated shall not have the authority to further delegate that responsibility. The delegation of responsibility to another person by a Trainer for horses which are running at a meeting shall not relieve him of the responsibility and liability for the care, control, management and supervision of those horses at the meeting, and the Trainer shall be responsible for any breaches of the Rules of Racing committed by the person to whom the responsibility has been delegated during the period of such delegation.	
20.9.	<ol style="list-style-type: none"> 1. A Restricted Permit to Train may be granted to a person and shall be restricted in accordance with sub-rule 2 of this rule. 2. The holder of a Restricted Permit to Train may not train more than ten (10) horses, owned by persons other than the Restricted Permit holder and/or his immediate family members, at any time, unless approval is otherwise granted by TRSA, and if so granted on such terms, conditions and for such period as TRSA may determine in their sole discretion. For the avoidance of doubt there is no limitation on the number of horses the holder of a Restricted Permit to Train may train which is owned/leased by him/her, either solely or jointly with a member of his/her immediate family. 	Owner-Trainer permits (LR 52) Adopted 1/10/02 Amended 1/02/03 Amended 1/05/05 Restricted Permit to Train – Amended & Adopted 1/03/2020 Limit number in work Adopted 1/05/05
20.10	The Board or the Stewards may penalise any person holding a trainer's licence or permit who: <ol style="list-style-type: none"> 1. neglects or refuses to comply with any condition imposed by the Board upon the grant of such licence or permit or 2. knowingly employs or accepts the assistance of any person disqualified under the Rules. 	Board may punish (LR 53) Amended 1/02/05 Amended 1/1/10
20.11	The Trainer of every horse must ensure that such horse has a bit with a lead attached in its mouth at all times whilst on or being lead to or from any racecourse or registered training establishment in South Australia. Any Person breaching this Rule may be penalised.	Amended 1/1/10 Amended 1/12/12
20.12	If in the exercise of their powers under AR 22, the Stewards identify and/or take possession of any substance(s) or preparation(s) that have not been prescribed, labeled, dispensed, or otherwise obtained in accordance with the relevant State and Commonwealth legislation, any trainer or other relevant person in charge at the time may be penalised.	Non prescribed medication Adopted 1/05/05 Amended 1/1/10 Amended 1/3/19

20.13	<p>1. Pursuant to AR 109(1), the trainer of any horse visiting South Australia must, prior to the time for declaration of acceptances, make application to Racing SA on the form prescribed for a Visiting Trainer's Permit.</p> <p>2(a) A Visiting Trainer's Permit shall be effective for a period of one month.</p> <p>2(b) Any trainer having been granted a Visiting Trainer's Permit and requiring to extend a Permit beyond the one month period, must make written application to the Stewards.</p> <p>3. Unless otherwise approved by the Stewards, the holder of a Visiting Trainer's Permit may only train those horses described in the original application.</p> <p>4. Any trainer who breaches Rule 20.13 may be penalised and any horse concerned may have its nomination refused, be withdrawn from any race for which it is entered or be disqualified.</p>	<p>Adopted 1/10/06 Amended 1/3/19 Amended 29/1/21</p> <p>Amended 1/12/12</p> <p>Amended 1/12/12</p> <p>Amended 1/1/10</p>
20.14	<p>1. No more than <u>three</u> trainers who have been granted a licence to train under LR.20.6.1 may be granted permission to train in partnership with one another.</p> <p>2. An application for permission to train in a partnership shall –</p> <ul style="list-style-type: none"> (a) be made on the form prescribed for that purpose; (b) provide such information as requested; (c) be accompanied by such fee as is prescribed by the Board; and (d) address all of the matters set forth in 20.4.1 above for each partner applicant and the Board may impose such terms and conditions on any permission they thereby grant as it may think fit. <p>3. Trainers who have permission to train in partnership –</p> <ul style="list-style-type: none"> (a) shall not train any horses as individuals or in another training partnership; (b) share jointly and severally all responsibilities, duties and obligations under the Rules in regard to the training of racehorses. <p>4. The Board may in its absolute discretion relieve applicants for permission to train in partnership from any of the requirements of this Rule</p> <p>5. The Board may –</p> <ul style="list-style-type: none"> (a) without being required to give any reasons, refuse permission to train in partnership or; (b) grant permission, subject to such terms and conditions as the Board thinks fit, including but not limited to restrictions regarding duration and locality or both. <p>6. The Board may at any time in their absolute discretion –</p> <ul style="list-style-type: none"> (a) revoke permission to train in partnership, including without limitation where one or any of the training partners cease to meet the requirements to be granted permission in accordance with the Rules, or where one or any of the training partners have been suspended or disqualified; or (b) vary or amend any of the terms or conditions of any permission to train in partnership. <p>7. It is a prerequisite to the grant of permission to train in a training partnership that –</p> <ul style="list-style-type: none"> (a) None of the applicants hold a licence or permit to train in another racing jurisdiction, other than a licence or permit to train in partnership with one another; (b) The Stewards are satisfied as to the bona fides of the training partnership. (c) A minimum of 12 horses shall be trained in the partnership. <p>8. Where there has been a breach of the Rules by one of the trainers training in partnership, then all partners shall, subject to the provisions of A 98(7), be deemed jointly and severally responsible and may be charged and penalised accordingly.</p>	<p>Adopted 1/8/08 Amended 1/12/12 Amended 1/3/19</p>

9. Where a penalty has been imposed by another Principal Racing Authority upon one of the trainers in a training partnership, then each training partner shall be deemed jointly and severally responsible for the purposes of adoption or recognition of that penalty in accordance with these Rules.
 10. Sub-Rules 7.and 8.shall not apply where the relevant breach or penalty imposed –
 - (a) does not relate in any way to the training of racehorses; or
 - (b) involves conduct of a kind that may be subject to a penalty under AR 229(1)(a).
 11. Subject to the Rules, Permission to Train in Partnership shall expire at the end of the racing year in respect of which permission has been granted.
- 20.15**
1. Notwithstanding the provisions of LR.18.1, a Trainer or his authorised representative, on being informed a rider previously declared is unable to fulfil an engagement shall, as soon as practical, engage a replacement rider and notify the engagement to Stewards.
 2. Prior to the notification of a replacement rider to the Stewards, a Trainer or his authorised representative shall not communicate in any manner details of such replacement with any person other than an owner or his bona fide representative of the runner directly concerned.
 2. Any Trainer, or his authorised representative, who fails to comply with the provisions of this Rule may be penalised.

Effective 1/8/10

JOCKEYS AND RIDERS

LOCAL RULE – 21

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|---|--|
| <p>21.1 No person shall ride in a race at any race meeting in South Australia (unless such meeting has been exempted from the operation of this Rule) unless he/she -</p> <ul style="list-style-type: none">(a) is licensed as a jockey by the Board; or(b) is an apprentice who has obtained a permit from the Board to ride in races; or(c) has been permitted by the Stewards acting at a race meeting to ride in a race at that meeting providing such person submits an application on the form prescribed accompanied by the prescribed fee and is licensed by or holds a permit to ride from Racing SA or Principal Racing Authority in the territory in which he/she resides and is not under any embargo under the Rules. | <p>Persons eligible
(LR 65)
Amended 1/12/02
Amended 29/1/21</p> |
| <p>21.2 The following provisions shall apply to applications for Jockeys' licences, namely,</p> <ul style="list-style-type: none">(a) Every application for a licence shall be made on the form prescribed for that purpose by the Board, and the applicant shall furnish to the Board such information as the Board may from time to time require.(b) A personal statement and a medical report from a registered Medical Practitioner on the form prescribed by the Board shall accompany every application. Unless otherwise exempted by the Board, the statement and report will be reviewed by the Medical Panel constituted under LR 6.(c) A fee determined by the Board shall accompany every application.(d) The Board may impose such terms and conditions on any applicant as they may think fit. In particular the Board may require an applicant to ride work regularly for a period before his/her application will be considered, and a licence may be restricted as to duration or locality, or both.(e) The Board may refuse to grant any licence, and may at any time suspend, withdraw or cancel any licence before the termination of the year for which it is granted, without giving any reason therefore.(f) No licence shall be granted to any person unless he/she shall have been previously licensed as a jockey or shall have been an apprentice, or shall satisfy the Board that he/she is competent to ride in races.(g) Subject to the Rules, a Jockey's licence shall expire at the end of the racing year in respect of which it has been granted. | <p>Provision for Licence
(LR 66)
Amended 1/03/03
Amended 1/2/19</p> |
| <p>21.2.1 Subject to the Rules of Racing and further to the provisions of LR21.1 and LR.21.2, it shall be a pre requisite to the grant of a jockey's or rider's licence, that the person applying for same, on application to the Board, satisfies the Board that he or she;</p> <ul style="list-style-type: none">(a) has qualifications, training units and/ or experience levels approved or recognised by the Board for the purposes of the grant of such Licence; and(b) has met the requirements of, whether stated in a policy of, or otherwise determined by, the Board to be necessary for the purposes of the grant of a Licence in the category the subject of an application; and(c) is medically fit to carry out the roles, duties and responsibilities inherent in the Licence if granted; and(d) is a fit and proper person to be licensed. | <p>Effective 1/2/19</p> |

<p>21.3 (a) The Stewards or the Board may at any time require any jockey or any person granted permission to ride in races or track work to undergo any physical psychological and/or medical assessment as determined or conducted by the Medical Panel or any other medical or other professional appointed to undertake that assessment by the Medical Panel.</p> <p>(b) The Stewards may suspend the license or permit of any person if, in the opinion of the Medical Panel, any assessment or report arising there from raises unsatisfactory issues in relation to the person's capacity to ride or perform any other duties relating to the license or permit.</p> <p>(c) Any person who fails or refuses to submit to any physical psychological and/or medical assessment procedure when required to do so pursuant to this Rule may be penalised.</p> <p>(d) The Board, on receipt of the assessment or report of the Medical Panel and of any recommendation by the Stewards may confirm the suspension of the license or permit or subject it to such conditions, as the Board deems appropriate.</p>	<p>Board may refuse Licence (LR 67) Amended 1/1/10</p>
<p>21.4 Upon an Analyst's Report on a Preliminary Analysis of a urine or blood sample taken under AR 139 showing the presence of alcohol, any drug or its metabolite or any major active component of a drug, or a prohibited substance, the Stewards or Board, may in their absolute discretion and without the necessity of providing reasons, stand down any Jockey, Apprentice or Rider from all race, trial and track riding for and until such time as the Stewards have inquired into the said analysis.</p>	<p>Effective 31/7/92 (LR 67A) Amended 1/3/19</p>
<p>21.5 It shall be an offence for any rider:</p> <ol style="list-style-type: none"> 1. to in any way manipulate or attempt to manipulate the wearing of a body protector to gain an unfair weight advantage in a race; or 2. to weigh-out or attempt to weigh-out for a race or ride in any race or trial when wearing an approved or permitted body protector that has been modified in any way. 	<p>Body Protectors (LR 68A)</p>
<p>21.6 Any rider found to have used or provided, or attempted to use or provide, any gear which the Stewards consider to be unsafe, damaged, worn or unsatisfactory in any way or is not approved may be penalised.</p>	<p>Unsafe gear Adopted 1/05/05 Amended 1/1/10</p>
<p>21.7 Production by a licensed jockey of a badge issued by the Board or other Principal Racing Authority shall entitle such jockey to admission to all registered race meetings without charge.</p>	<p>Admission to race meetings (LR 70)</p>
<p>21.8 1. No rider shall have any interest, direct or indirect, in any wager on any race.</p> <p>2. Any rider offending against this Rule or any person betting with or for any rider offending against this Rule or interested in any such wager or bet shall be guilty of an improper practice.</p>	<p>Offence to wager (LR 71)</p>
<p>21.9 No jockey or director or secretary of an Incorporated Jockey shall write articles about racing for publication in newspapers or grant interviews about racing for publication or broadcast without the permission of the Board.</p>	<p>Publication of articles (LR 71A)</p>
<p>21.10</p>	<p>Effective 1/8/10 Deleted 1/6/11</p>

- 21.11**
1. After the time for the Declaration of Riders as prescribed in LR.18.1 has passed, a rider, who for any reason is unable to fulfil an engagement, shall notify the Stewards of such circumstances as soon as practical.
 2. Prior to notifying the Stewards, a rider, who for any reason is unable to fulfil an engagement, shall not communicate in any manner his inability to ride to any other person.
 3. Any rider who fails to comply with the provisions of this Rule may be penalised.

Effective 1/8/10

- 21.12**
1. A rider must not receive, directly or indirectly, instructions concerning the running or riding of a horse in a race in which the rider is declared to ride from anyone other than the trainer (or authorised agent) or an owner of the horse.
 2. A person must not provide, or offer to provide, to a rider, directly or indirectly, instructions concerning the running or riding of a horse in a race in which the rider is declared to ride, unless that person is the trainer (or authorised agent) or an owner of the horse.
 3. For the purposes of this rule:
 - (a) “instructions” includes, but is not limited to, riding and/or tactical race instructions or directions, regardless of whether such instructions and/or directions are:
 - (i) provided verbally, in writing, or otherwise;
 - (ii) contained on a speed map; and
 - (b) “instructions” does not include a speed map in respect of a race indicating only the likely pace in the race and/or the likely settling positions of runners in the race.

Effective 26/2/21

DUAL LICENCE (JOCKEY/TRAINER)

LOCAL RULE – 21A

21A.1 For the purposes of this Rule: Effective 8/7/16

- (a) “Dual Licence” means a licence granted by the Board or its delegate which licenses the holder to train horses as a Trainer and also to ride horses for reward in races as a Jockey both during the currency of that licence.
- (b) “Dual Licence Holder” means a person who has been duly granted a Dual Licence.

21A.2 No person shall be entitled to apply for a Dual Licence, unless he/she –

- (a) is a licensed jockey pursuant to LR.21(a), and
- (b) is eligible to be licensed as a trainer and satisfied all the requirements of LR.20.

21A.3 Further to the provisions of LR.21A.1 and LR.21A.2, the following provisions shall apply to a Dual Licence, namely,

- (a) every application for a Dual Licence shall be made on the form prescribed for that purpose by the Board, and the applicant shall furnish to the Board such information as the Board may from time to time require.
- (b) a Dual Licence Holder shall not be eligible to be granted permission to, and it is a condition of a Dual Licence that the Dual Licence Holder does not, train in partnership with another Trainer under LR.20.14, and
- (c) no person who has been granted permission to train in partnership with another trainer shall, notwithstanding his/her compliance with LR.21A.1, be eligible for consideration for a Dual Licence.
- (d) a Dual Licence Holder shall not be eligible to be granted a Restricted Permit to Train under LR.20.9, and no person who has been granted an Restricted Permit to Train, notwithstanding his/her compliance with LR.21A.1, shall be eligible for consideration as a Dual Licence Holder. Amended 24/05/22
- (e) an applicant for a Dual Licence must, in addition to the provisions of this rule satisfy the Board or its delegate that he/she meets all the requirements for licensing in the category as a Trainer, provided that if granted a Dual Licence, such Dual Licence Holder is not, unless otherwise determined by the Board, required to have a minimum number of five (5) horses in *training*.
- (f) a Dual License Holder may be granted permission to have an Apprentice Jockey indentured to him/her, provided that in the event permission is granted, then notwithstanding the provisions of LR21A.4(a) and in addition to those provisions; a Dual License Holder, and his/her Apprentice Jockey may not ride in the same race, regardless whether the Dual License Holder is the trainer of a horse in that race. Amended 24/05/22
- (g) a Dual Licence Holder shall not have any ownership interest in any horse.
- (h) the continued entitlement to hold a Dual Licence shall be contingent on the Dual Licence Holder being eligible to have both a jockey’s licence and a trainer’s licence under The Rules. In the event the Dual Licence Holder ceases to be eligible to hold either a jockey’s licence or a trainer’s licence, the Dual Licence shall thereupon cease and determine.

- (i) the provisions of LR.20 and LR.21 shall apply to a Dual Licence Holder in all respects, except to the extent that those rules are inconsistent with the requirements of this rule.

21A.4 The following provisions apply to a Dual Licence Holder

Amended 1/3/19

- (a) where a Dual Licence Holder is the trainer of a horse entered in a race, the Dual Licence Holder may ride that horse but shall not be declared for, or ride, any other horse in that race.
- (b) in the event a Dual Licence Holder is the trainer of more than one horse entered in the same race, then that Dual Licence Holder may not be declared for, or ride, any horse in that race.
- (c) if a horse (“Subject Horse”), which is trained by a Dual Licence Holder changes stables and is thereafter trained by another trainer, that Dual Licence Holder may not ride the Subject Horse or any other horse entered to race in the same race as the Subject Horse in the 28 days immediately following that change of stables without prior express permission of the Stewards.
- (d) a Dual Licence Holder who has a horse entered in a race, and who is declared to ride that horse in that race, must engage the services of another trainer or licensed person as approved by the Stewards to act on his/her behalf with respect to the saddling of the horse prior to the event.
- (e) a Dual Licence Holder may only have an interest in the buying, selling, trading or leasing of thoroughbred livestock with the express prior permission of the Principal Racing Authority in accordance with AR 118(1) and the provisions of AR 118(2) & (3) shall apply accordingly.
- (f) where a Dual Licence Holder is suspended for a breach of the Rules of Racing as a jockey, the Stewards may, in the exercise of their discretion, and in addition to any other penalty imposed under the Rules, also suspend that Dual Licence Holder in their capacity as a trainer for the same, or for such other, period as the Stewards may determine.
- (g) where a Dual Licence Holder is suspended for a breach of the Rules of Racing as a trainer, the Stewards may, in the exercise of their discretion, and in addition to any other penalty imposed under the Rules, also suspend that Dual Licence Holder in their capacity as a jockey for the same, or for such other, period as the Stewards may determine.
- (h) the provisions of AR 115 and LR.21.8 shall, so far as applicable, apply to a Dual Licence Holder.
- (i) the provisions of AR 236 apply to a Dual Licence Holder.

21A.5 In connection with an application for a Dual Licence (including any renewal), the Board may in its absolute discretion and without assigning any reason therefore;

- (a) grant, or, if the Board is not in its opinion satisfied that all of the requirements of this rule have been established, refuse any Dual Licence;
- (b) grant any Dual Licence upon such conditions as the Board may deem fit to impose, including but not limited to restrictions regarding duration and locality or both;
- (c) revoke, cancel or suspend any Dual Licence during its currency and before the period for which the same was granted shall have expired.
- (d) determine the fee payable by an applicant for a Dual Licence.

PERMISSION TO RIDE IN OFFICIAL TRIALS

LOCAL RULE – 21B

21B.1 No person shall, unless otherwise determined by Racing SA, ride in an Official Trial unless he/she;

Effective 1/2/17
Amended 29/1/21

(a) is licensed as a Jockey by Racing SA, or

(b) is licensed as an Apprentice Jockey by Racing SA.

21B.2 Further to the provisions of LR21B.1 a person who is not licensed as either a Jockey or an Apprentice Jockey, but who holds a registration as a Track Work Rider issued by Racing SA, may at the sole discretion of Racing SA be granted permission to ride in an Official Trial on such terms and conditions as Racing SA may determine from time to time.

INCORPORATED JOCKEY

LOCAL RULE – 22

22.1	The Board may grant an Incorporated Jockey's Licence to a company incorporated in South Australia which satisfies the requirements of this Rule.	Incorporated Jockey
22.2	<p>To be eligible to be granted and retain an Incorporated Jockey's Licence a company must:</p> <ul style="list-style-type: none">(a) be incorporated in South Australia with the sole object of being the holder of an Incorporated Jockey's Licence under the Rules of Racing;(b) have a maximum two directors only, one of whom shall be a Licensed Director and the other, if there are two directors, must be a Prescribed Relative of the Licensed Director;(c) have all of its issued shares owned beneficially by its Licensed Director or a Prescribed Relative of its Licensed Director;(d) have all of the voting rights exercisable at a meeting of its members exercisable only by its Licensed Director;(e) issue shares to a Prescribed Relative subject to the conditions that upon the Prescribed Relative ceasing to be a Prescribed Relative of the Licensed Director the shares shall be redeemed by the Incorporated Jockey;(f) not issue, assign or transfer any shares or other rights capable of conversion into shares and which may be held other than by its Licensed Director and which the Incorporated Jockey is not bound to redeem if not held by a Prescribed Relative of its Licensed Director.	Eligibility
22.3	<ol style="list-style-type: none">1. The application for an Incorporated Jockey's Licence shall be in such form as the Stewards may from time to time require.2. Every application for an Incorporated Jockey's licence shall be made on the form prescribed for that purpose by the Board, and the applicant shall furnish to the Board such information as the Board may from time to time require.3. A proposed Licensed Director shall provide a personal statement and a medical report from a registered Medical Practitioner on the form prescribed by the Board with an application. Unless otherwise exempted by the Board, the statement and report will be reviewed by the Medical Panel constituted under LR 6.	Application Amended 1/03/03 Amended 1/05/05
22.4	An Incorporated Jockey shall produce to the Stewards for inspection by the Stewards or the auditors of Racing SA on behalf of the Stewards, its books, records, including electronically or computerised records, documents and such other evidence as may be nominated by the Stewards to establish that an Incorporated Jockey is eligible to retain its Incorporated Jockey Licence.	Production of Records Amended 29/1/21

<p>22.5</p> <p>22.6</p> <p>22.7</p> <p>22.8</p> <p>22.9</p> <p>22.10</p> <p>22.11</p> <p>22.12</p>	<p>The licence of an Incorporated Jockey shall be suspended automatically for the period of any suspension of its Licensed Director and shall be cancelled automatically on the disqualification of its Licensed Director or on the surrender of the licence held by its Licensed Director.</p> <p>A licence cancelled on the disqualification of the Licensed Director may be re-issued to an Incorporated Jockey on the application of its Licensed Director at the end of the period of disqualification of the Licensed Director. Where the licence of an Incorporated Jockey is cancelled because the Incorporated Jockey is not eligible to hold an Incorporated Jockey Licence, all riding fees payable to the Incorporated Jockey in respect of the period for which the Incorporated Jockey ceased to be eligible shall be paid to its Licensed Director.</p> <p>The Licensed Director of an Incorporated Jockey shall be answerable to the Board and the Stewards for all matters relating to the Incorporated Jockey under these Rules.</p> <p>A fee determined by the Board shall accompany every application.</p> <p>The Board may impose such terms and conditions on any application for an Incorporated Jockey’s licence as they may think fit. In particular, the Board may require the proposed Licensed Director to ride work regularly for a period before their application will be considered, and a licence may be restricted as to duration or locality, or both.</p> <p>Subject to the Rules, an Incorporated Jockey's Licence shall expire at the end of the racing year in respect of which it has been granted.</p> <p>Any notice requiring to be served or given to an Incorporated Jockey shall be sufficiently served if it is served:-</p> <p>(a) on its Licensed Director; or</p> <p>(b) in accordance with the Corporations Law of South Australia.</p> <p>The Board may refuse to grant any Incorporated Jockey’s licence, and may at any time cancel, suspend or withdraw any licence before the termination of the year for which it is granted without giving any reason therefore.</p> <p>No Incorporated Jockey’s licence shall be granted to any person unless the proposed Licensed Director shall have been previously licensed as a Jockey or shall have been an Apprentice, or shall satisfy the Board that he is competent to ride in races.</p>	<p>Suspension and forfeiture</p> <p>Licensed Director</p> <p>Fee</p> <p>Terms & Conditions</p> <p>Duration of Licence</p> <p>Service Of Notices</p>
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APPRENTICES

LOCAL RULE – 23

- 23.1.** A person who holds a trainer's licence or a permit and who enters into an Employment Agreement with an apprentice must:
1. may only enter into such agreement with the permission of the Board.
 2. do so only on the form prescribed by the Board for that purpose and on the terms and conditions as to the apprenticeship that the Board stipulates;
 3. file a copy of the employment agreement with the Board within seven (7) days of its execution.

Agreement to be lodged
(LR 72)

- 23.2** The following provisions shall apply to applications by Apprentice Jockeys for permission to ride in races, namely,

Permit to Ride
(LR 73)
Amended 1/03/03
Amended 1/2/19

- (a) Every application for permission to ride in races shall be made on the form prescribed for that purpose by the Board, and the applicant shall furnish to the Board such information as the Board may from time to time require.
- (b) A personal statement and a medical report from a registered Medical Practitioner on the form prescribed by the Board shall accompany every application. Unless otherwise exempted by the Board, the statement and report will be reviewed by the Medical Panel constituted under LR 6.
- (c) A fee determined by the Board shall accompany every application.
- (d) The Board may impose such terms and conditions on any applicant as they may think fit. In particular the Board may require an applicant to ride work regularly for a period before his/her application will be considered, and a licence may be restricted as to duration or locality, or both.
- (e) The Board may refuse to grant permission to ride in races, and may at any time suspend, withdraw or cancel any permit before the termination of the year for which it is granted without giving any reason therefore.
- (f) No permit shall be granted to any person unless he/she shall satisfy the Board that he is competent to ride in races.
- (g) No permit shall be granted to any person less than 16 years of age. (h) Subject to the Rules, a permit shall expire at the end of the racing year in respect of which it has been granted.

- 23.2.1** Subject to the Rules of Racing and further to the provisions of LR23.1 and LR.23.2, it shall be a pre requisite to the grant of an apprentice jockey's licence, that the person applying for same, on application to the Board, satisfies the Board that he or she;

Effective 1/2/19

- (a) has qualifications, training units and/ or experience levels approved or recognised by the Board for the purposes of the grant of such Licence; and
- (b) has met the requirements of, whether stated in a policy of, or otherwise determined by, the Board to be necessary for the purposes of the grant of a Licence in the category the subject of an application; and
- (c) is medically fit to carry out the roles, duties and responsibilities inherent in the Licence if granted; and
- (d) is a fit and proper person to be licensed.

- 23.3** No apprentice shall ride in any race unless

Must have Employer's consent
(LR 74)

- (a) he/she holds a permit to ride,
- (b) he/she so rides on the instructions of his/her master or with his/her master's consent, and

	(c) if the race be for two-year-old horses he/she has ridden in at least five races.	Two-year-old Races
23.4	For the purposes of AR 143(1), apprentices shall be permitted to claim the weight allowance under Rule 143(1) in races on the flat conducted in South Australia except in any Quality Handicap, Group and Listed Race or any other race published from time to time in the Racing Calendar as being a race for which apprentices cannot so claim.	Apprentice claims (LR 76) Amended 1/1/10 Amended 26/2/13 Amended 9/5/16 Amended 1/3/19
23.5	For the purpose of AR 143; <ul style="list-style-type: none"> (a) Metropolitan Area shall refer to race meetings conducted by a Race Club classified as being of “Metropolitan” status in accordance with the Local Rules of Racing and the Racing SA Metropolitan Prizemoney schedule. (b) Provincial Area shall refer to those race meetings conducted by a Race Club classified as being of “Provincial” status in accordance with the Local Rules of Racing and the Racing SA Provincial Prizemoney schedule. (c) Country Area shall refer to those race meetings conducted by a Race Club classified as being of “Country” status in accordance with the Local Rules of Racing and the Racing SA Country Prizemoney schedule. 	Apprentice claims Definition of areas (LR 76A) Amended 1/08/07 Amended 1/2/10 Amended 1/3/19 Amended 19/11/21
23.6	The Board may permit an apprentice indentured outside South Australia to ride at a race meeting held in South Australia if the Board or the Stewards be satisfied that at the relevant time such apprentice is entitled to ride in races in the State in which he/she is indentured.	Visiting Apprentices (LR 78)
23.7	If on the determination of the Employment Agreement, the person apprenticed thereunder applies for and obtains a licence as a jockey, such person shall not be bound by any engagement made for him/her during the period of his/her apprenticeship.	Free on completion of Apprenticeship (LR 79) Amended 1/05/05
23.8.	<ol style="list-style-type: none"> 1. Every apprentice and his/her employer shall within 24 hours after the apprentice rides a winning mount on the flat report to Racing SA in writing full particulars of such winning mount. 3. Any apprentice or employer failing so to report may be penalised by the Board. 	Winning mounts to be reported (LR 80) Amended 29/1/21 Amended 1/1/10
23.9	A trainer licensed by Racing SA who seeks to employ an apprentice jockey by agreement with the apprentice jockey’s employer shall, prior to contracting to do so, apply on the form prescribed for the permission of the Board. Provided that such permission shall be granted for a maximum period of three months although upon application, permission may be granted for a further three month period.	Adopted 1/11/08 Amended 29/1/21

RIDING FEES

LOCAL RULE – 24

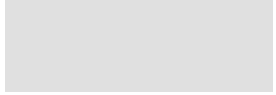
24.1		(LR 82(1)) Flat Races Amended 1/8/17 Jumping Races Official Trials Amended 24/2/12 Rescinded 1/10/20
24.2		(LR 82(2)) Rescinded 24/2/12
24.3	Where a horse is withdrawn before 7.30 am on the day of a race the rider who has been declared to ride such horse will be paid an engagement fee by the Registered Club conducting the race meeting an amount equal to the riding fee payable under the provision of LR 24.1(a) (b) and (c), provided that rider does not secure another ride in that race. The provision of this Rule shall not apply to emergency acceptors.	(LR 82(4))
24.4	The Board or a Registered Club may deduct from the fee payable by it to a rider for each race the insurance levy as determined from time to time by the Board, pursuant to sub-section 6 of this Rule.	(LR 82A(1))
24.5	Every Registered Club shall pay to Racing SA the deductions made by it from the riders' fees under this Rule within 14 days of the date of the relevant meeting and will provide Racing SA with such other information in relation to the payments made to Racing SA as the Board may require.	(LR 82A(2)) Amended 29/1/21
24.6.	1. The Board may direct that the insurance levy shall not be deducted from the fees payable to jockeys or apprentices during such period or periods as the Board may direct.	(LR 82A(3)) Effected 4/08/89
	2. The insurance levy deductions made under this Rule shall be applied by the Board in funding the premium and stamp duty costs of a scheme of indemnity insurance for participating riders effected on behalf of participating riders by South Australian Jockey's Association Incorporated.	(LR 82A(5))
	3. The amount of the insurance levy shall be determined from time to time by the Board after consultation with South Australian Jockey's Association Incorporated, and such determination may differentiate between classes of jockeys and apprentices.	(LR 82A(6))

RIDERS AGENT

LOCAL RULE – 25

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| 25.1 | <ol style="list-style-type: none">1. No person shall act as a Rider's Agent unless he/she has been granted a licence to act by the Board.2. Every application for a licence shall be made on the form prescribed for that purpose by the Board, and the applicant shall furnish to the Board such information as the Board from time to time require.3. A fee determined by the Board shall accompany every application.4. The Board may impose such terms and conditions on any applicant as they may think fit.5. The Board may refuse to grant any licence and may at any time suspend, withdraw or cancel any licence before the termination of the year for which it is granted, without giving any reason therefore.6. No licence shall be granted to any person unless he/she shall have satisfied the Board that he is competent to act in the capacity of Rider Agent.7. Subject to the Rules a Rider Agent's Licence shall expire at the end of the Racing Season, in respect of which it has been granted. | <p>Riders Agent
(LR 66AA(1))
Adopted 1/06/04
Amended 1/05/05</p> |
| 25.2 | <ol style="list-style-type: none">1. A licensed Rider's Agent shall represent only riders in respect of whom he/she has obtained specific approval of the Board or the Stewards.2. A licensed Rider's Agent will furnish to the Board a copy of the Agreement held with each rider he represents.3. Any Agreement shall remain in effect until written notification of the cancellation of such Agreement is received by the Stewards.4. A licensed Rider's Agent shall notify the Stewards in writing, as soon as practicable, prior to withdrawing from representing any rider.5. Unless otherwise determined by the Stewards, a rider shall be bound by engagements made on their behalf by his/her licensed Rider's Agent. | <p>(LR 66AA(2))
Adopted 1/06/04</p> |
| 25.3 | <p>A licensed Rider's Agent shall not:</p> <ol style="list-style-type: none">1. Represent more than the number of riders as determined by the Board from time to time.2. Make or assist in making engagements for a rider, other than the riders he/she is approved to represent.3. Give to any person, either directly or indirectly, any information or advice that may influence any person in the making of a wager on the result of any race, without the permission of the Stewards.4. Whilst a race meeting is in progress, be permitted to enter the mounting enclosure, scales area or jockeys' room, unless especially approved to do so by the Stewards.5. Be allowed on the racing surface after the commencement of a race meeting, without the permission of the Stewards.6. During a race meeting, communicate in any manner with any jockey without the express permission of the Stewards. | <p>(LR 66AA(3))
Adopted 1/06/04</p> |

7. Write articles about racing for publication or broadcast, nor comment about racing to the Press or Media, without the permission of the Board or the Stewards, except that comment may be made in respect to past events.



STABLE EMPLOYEES

LOCAL RULE – 26

26.1	Every person (other than a licensed Jockey or Apprentice) employed in connection with the training of a racehorse shall forthwith apply to Racing SA on the prescribed form to be registered as a Stable Employee.	(LR 83) Amended 1/03/03 Amended 29/1/21
26.2	The following provisions shall apply to applications for registration as a Stable Employee, namely, (a) Every application for registration shall be made on the form prescribed for that purpose by the Board, and the applicant shall furnish to the Board such information as the Board may from time to time require. (b) Every applicant intending to ride track work will provide a personal statement and a medical report from a registered Medical Practitioner on the form prescribed by the Board. Unless otherwise exempted by the Board, the statement and report will be reviewed by the Medical Panel constituted under LR 6. (c) A fee determined by the Board shall accompany every registration. (d) The Board may impose such terms and conditions on any applicant as they may think fit. In particular the Board may restrict any registration as to duration or locality, or both. (e) The Board may refuse to register any Stable Employee, and may at any time revoke or cancel any registration before the termination of the year for which it is granted without giving any reason therefore. (f) Every applicant must have turned fourteen (14) years of age prior to lodging any application. (g) Subject to the Rules, any registration shall expire at the end of the racing year in respect of which it has been granted.	Duration (LR 84) Amended 1/03/03
26.3	A Registered Stable Employee attendant with a horse at any race meeting must visibly display an identity card current for the present racing year. Any Registered Stable Employee who fails to comply with this Rule may be penalised and may not be permitted to attend horses at that race meeting.	Amended 1/1/10
26.4	No licensed trainer or holder of a trainer's permit shall, without the consent of the Board, employ, or retain in employment, any person (other than a licensed jockey or apprentice) in connection with the training of a racehorse or continue such person in his employment unless such person is registered in accordance with these Rules.	Responsibility of Trainer (LR 85)

RACING COLOURS

LOCAL RULE – 27

27.1	The Board may register and from time to time renew the exclusive right of any person to use any particular colours not registered in the name of any other person on payment of a fee as prescribed by the Board.	Colours may be registered (LR 55)
27.2	Any such registration of colours may be renewed annually on the first day of August in each year on payment of a fee as prescribed by the Board.	Annual Fee (LR 56)
27.3	If the fee payable on renewal of any such registration shall not be paid upon the expiration of three calendar months after the same became payable such registration shall be deemed to have expired and the Board may, subject to its right to reinstate such registration, allot such colours to and register the same in the name of some other person.	Fees not paid registration cancelled (LR 57) Amended 1/05/05
27.4	The Board may from time to time revise the list of registered colours and, with or without notice, cancel the registration of any set of colours.	List may be revised (LR 58) Amended 1/05/05
27.5	The Board may in its absolute discretion (a) refuse to register any racing colours; or (b) register racing colours subject to terms and conditions the Board may apply.	Adopted 1/05/05
27.6	<ol style="list-style-type: none">1. Colours determined by the Board to be commercial, being colours which bear any trademark, logo, design or other distinguishing pattern, colour or feature whether promoting or associated with any racing stable, licensed promoter, stud, other racing enterprise or any other business, may only be used in South Australia with the permission of the Board upon application and the payment of a fee as prescribed.2. Racing SA may upon application permit commercial colours not registered to be used during a race meeting provided that such colours relate directly to a sponsor or other commercial partner supporting the race meeting.3. Provided that colours which are registered by another Principal Racing Authority may be permitted with the permission of the Board.	Commercial colours Adopted 1/05/05 Amended 29/1/21
27.7	No rider shall wear any set of colours without the consent of the person in whose name they are registered.	Cannot be used by another owner (LR 59)
27.8	No person shall change his/her registered colours without the permission of the Board.	Permission needed to change (LR 60)
27.9	If two or more horses start in a race under the nomination of the same person (either alone or jointly with some other person) the rider or riders of all but one of such horses shall carry some distinguishing mark as ordered by the Stewards.	Distinguishing Marks (LR 61)
27.10	If two or more acceptors declare the same colours at the time of declaration, the Stewards conducting the meeting shall be empowered to decide which nominator shall retain the colours so declared and direct the other nominator to assume other colours.	Declaring same colours (LR 62)

- 27.11** If the rider of any horse starting in a race shall wear the colours registered in the name of some person other than the nominator of such horse, the nominator or trainer of such horse or both may be penalised by the Stewards.
- 27.12** The Stewards or the Judge acting at any race meeting may require any rider to carry distinguishing colours other than those declared.
- 27.13**
1. The Trainer or any other person in control of a horse at a race meeting may be penalised if, at the time for weighing out for any race, in the opinion of the Stewards:
 - (i) the rider has not been provided with racing colours;
 - (ii) the racing colours provided are incorrectly described or do not agree with those described in the stable return lodged in respect to that horse at the time of declaration of acceptance;
 - (iii) the racing colours provided are in an unclean or unsatisfactory condition;
 - (iv) the racing colours provided are, in the terms described in Rule 27.6.1, considered to be commercial and are not registered; or
 - (v) the racing colours of the Club or Racing SA are used for any other reason.
 2. The Trainer or rider of any horse provided with racing colours as a result of any contravention of Rule 27.13.1 shall be responsible to return those racing colours to the Clerk of Scales. Any person who fails this obligation may be penalised.

Stewards may Punish (**LR 63**)

Amended 1/1/10

Change of Colours (**LR 64**)

Effective 1/8/09

Amended 1/1/10

Amended 1/1/10

Amended 29/1/21

LEASES

LOCAL RULE – 28

28.1	No lease shall be considered unless the horse being the subject of the lease is registered.	Horse to be registered Adopted 1/05/05
28.2	Every lease must be lodged on the form prescribed by Racing SA and be accompanied by a fee as prescribed by the Board. The fee shall be payable by the lessee.	Fee payable (LR 31) Amended 29/1/21
28.3	1. The Board may refuse to register or may cancel any lease which is found to have been; (a) altered or amended without the knowledge or consent of any party to the lease agreement, or (b) lodged with false or misleading information, or with information omitted, which may have misled or is capable of misleading any party to the lease agreement. 2. Any person who commits or is party to a breach of this Rule may be penalised.	Adopted 1/05/05 Amended 1/1/10
28.4	Every lease lodged shall be for no longer than a maximum period of three (3) years.	Term of Lease

HURDLE RACES AND STEEPLECHASES

LOCAL RULE – 29

29.1	In the Rules and in the conditions of any hurdle race or steeplechase words referring to a horse that has "never started" shall mean a horse that has never started in a hurdle race or steeplechase.	Definition of starter (LR 120)
29.2	No horse shall be eligible to start in its first hurdle race or first steeplechase unless prior to acceptance time it has completed a official trial to the satisfaction of the Stewards.	First start (LR 121) Amended 1/05/05
29.3	<ol style="list-style-type: none">1. At a meeting held in the Metropolitan area without the permission of Racing SA no hurdle race or steeplechase shall be of a distance of less than 2800 metres.2. At a meeting held outside the Metropolitan area without the permission of Racing SA:<ol style="list-style-type: none">(i) No hurdle race shall be of a distance of less than 2800 metres(ii) No steeplechase shall be of a distance of less than 3200 metres.	Minimum Distances (LR 122) Amended 1/05/05 Amended 29/1/21
29.4	The hurdles used in hurdle races shall not be less than 1 metre in height and in steeplechases no obstacle shall be less than 1.15 metres in height. All measurements under this Rule shall be made in a vertical line from the ground to the top of the obstacle as fixed.	Height of Jumps (LR 123) Amended 1/05/05
29.5	If a rider in hurdle or steeplechase event evades any jump, unless directed to do so by a Steward or his appointed representative, his/her horse shall be thereby disqualified for that race and the rider may be penalised.	Disqualification for evading jumps (LR 124) Amended 1/1/10
29.6	If a horse evades a jump unless directed to do so by a Steward or a appointed representative its rider shall not continue in that race until the horse has negotiated such jump.	Jockey not to continue in Race (LR 125)
29.7	Any horse getting away from its rider may be remounted and ridden for the remainder of the race provided that no jump has been evaded and any rider so losing his/her horse may be assisted to catch and remount it.	Horse may be remounted rider may be assisted (LR 126(a))
29.8	When any course is set out with flags or markers the rider must follow the course set out. In the absence of any flags or markers or a direction to go any particular course the rider may take his horse from jump to jump. Provided that any horse which has not followed the course set out but in the opinion of the Stewards has not gained sufficient advantage to have affected the result may, subject to correct weight being signalled, be declared the winner or a placed horse for the race.	Riders must follow course set out, if no flags from jump to jump (LR 127)
29.9	If a horse refuses any jump in a hurdle race or steeplechase and it can be proved to the satisfaction of the Stewards that it has been assisted in completing a jump in any manner by any person other than its rider the horse may be disqualified from the race.	Lead over jump not to be given (LR 128) Amended 1/05/05

29.10 In any hurdle race or steeplechase for which the total prizemoney is less than \$80,000 a jockey or rider shall until he/she has ridden ten winners in hurdle races and/or steeplechases, be entitled to claim 3 kg allowance.

Allowances for jumping riders
(LR 131)
Amended 1/2/10
Amended 2/4/15

Provided that:-

1. any such jockey or rider, after having ridden ten winners and until he/she has ridden a further ten winners, shall be entitled to claim a 1.5 kg allowance.
2. providing that any jockey may claim the same allowance during the day of racing to which he/she is entitled at the beginning of that day.

29.11 Jockeys entitled to claim allowances in jumping races shall report every such winning ride to Racing SA within 24 hours of the running of the race won.

(LR 131)
Amended 29/1/21

29.12 Further to the provisions of AR 132(11) and for the purpose of AR 132(7)(a) & (b) only,

New April 2016
Amended 1/3/19

(a) In a jumps race, official jumps trial or jump-out (including a jumps schooling event) prior to the 100 metre mark;

(i) The whip shall not be used in consecutive strides.

(ii) The whip shall not be used on more than 10 occasions.

(iii) The rider may at his discretion use the whip with a slapping motion down the shoulder, with the whip hand remaining on the reins.

(b) In the final 100 metres of a jumps race, official jumps trial or jump-out (including a jumps schooling event) a rider may only use his whip in non-consecutive strides”.

AUTHORISED REPRESENTATIVE

LOCAL RULE – 30

- 30.1** Further to the provisions of Schedule 3, SR9, should a Promoter intend to promote shares in a racehorse/s through an Authorised Representative (as permitted by the *Corporations Act 2001*) then:
- (a) the Board may carry out such investigations of the Authorised Representative as it considers necessary to assess the Authorised Representative’s ability and appropriateness to perform such role:
 - (b) the Board shall record any Authorised Representative who satisfies the investigative procedure referred to in sub Rule (a) in a Register of Approved Authorised Representatives established by the Board for such purpose; and
 - (c) an Authorised Representative shall not carry out any promotional activities on behalf of the Promoter until such time as the Board notifies the Authorised Representative that he/she has been recorded in the Register of Approved Authorised Representatives

Promoters Representative
(LR 137)
Adopted 1/11/04

OFFENCES

LOCAL RULE – 31

31.1	Any licensed person who, whilst the Compliance Assurance Officer – Steward, hereinafter referred to as “Compliance Officer” is exercising the powers vested in him by AR 22 or otherwise carrying out his duties, refuses to obey any reasonable direction of the Compliance Officer or obstructs, hinders or delays the Compliance Officer in exercising such powers or carrying out his duties, or incites any other person or person to obstruct, hinder or delay the Compliance Officer from exercising such powers or carrying out their duties, or does not act to prevent any other person or persons on the premises from doing so, may be penalised.	Obstruction of Investigator Adopted 1/05/05 Amended 1/1/10 Amended 29/11/18 Amended 1/3/19 Amended 1/07/22
31.2	Except with the permission of the Stewards, no person shall bring on to, have in their possession, or use upon a racecourse any portable telephone, radio transceiver, microphone or any similar instrument or apparatus.	(LR 17B)
31.3		Adopted 1/11/14 Rescinded 30/11/17

PENALTIES

LOCAL RULE – 32

<p>32.1 All fines and other pecuniary penalties imposed by the Board or the Stewards as part of any penalty shall become the property of Racing SA and may be recovered as a debt by the Board in any Court of competent jurisdiction.</p>	<p>Recovery of fines (LR 97(a)) Amended 1/1/10 Amended 29/1/21</p>
<p>32.2 All fines and other pecuniary penalties, or penalty imposed pursuant to these Rules shall be paid to the Board within twenty-eight days of the imposition of such fine.</p>	<p>Payment of fines (LR 97(b))</p>
<p>32.3 A disqualification or suspension imposed on any person by the Board of Harness Racing SA, the Board of Greyhound Racing SA, or Stewards operating under the authority of such Boards, shall be adopted and enforced by the Board and the Stewards.</p>	<p>Reciprocal Penalties – Effective 1/6/93 (LR 135)</p>
<p>32.4 Any penalty or warning off imposed or any decision made in South Australia by the Board, the Stewards, the “Racing Appeals Tribunal” or a Registered Club may be published in the Racing Calendar, Racing SA’s website or any newspaper or otherwise by the body or persons imposing the penalty or warning off or making the decision.</p>	<p>Penalty may be published Adopted 1/05/05 Amended 1/1/10 Amended 29/1/21</p>
<p>32.5 In deciding whether a special circumstance exists for the purposes of AR 283(6), the Board, the Stewards, or the Racing Appeals Tribunal on appeal as the case may be (all collectively described in this sub Rule as “Sentencing Authority”), may have regard to the following matters:</p> <ul style="list-style-type: none">(a) if the person (“Offender”) has pleaded guilty to the charge of a breach of the Rules (“Breach”) - that fact and the circumstances surrounding the plea;(b) the degree to which the Offender has co-operated in the investigation or prosecution of the Breach or any other Breach or offence and the circumstances surrounding, and likely consequences of, any such co-operation;(c) if the Offender proves on the balance of probabilities that at the time of commission of the Breach they;<ul style="list-style-type: none">(1) suffered from impaired mental function; and/or(2) were under genuine duress;which is causally connected with the Breach and/or its circumstances and which substantially explains its commission and lessens their culpability; or(d) otherwise, in the interests and/or administration of justice, having regard to the circumstances of the Offender and the Breach, the Sentencing Authority is of the opinion that good reason exists for imposing a reduced penalty.	<p>Adopted 5/3/13 Amended 3/5/13 Effective 5/3/13 Amended 1/3/19</p>

APPEALS

LOCAL RULE – 33

<p>33.1 Any person aggrieved by a decision of the Board or the Stewards imposing -</p> <p>(a) a suspension, disqualification or warning off</p> <p>(b) a fine exceeding \$499</p> <p>may, within seven (7) days after the decision, lodge a Notice of Appeal with the Registrar of the Racing Appeals Tribunal, (as prescribed by the Rules of the Racing Appeals Tribunal) stating -</p> <p>(i) the name and address of the appellant</p> <p>(ii) the decision appealed from, and</p> <p>(iii) the grounds of Appeal</p> <p>together with payment of the bond and non refundable lodgment fee required by the Constitution and Rules of the Racing Appeals Tribunal in the amounts as from time to time prescribed by Racing SA and published in the Racing Calendar.</p>	<p>Right of Appeal (LR 98(1)) Amended 29/1/21</p>
<p>33.2 In any other case any person aggrieved by a decision of the Stewards may Appeal to the Board, except where the case is one pursuant to the provisions of LR46, in which case there is no right of appeal.</p> <p>1. Every Appeal shall be instituted by notice in writing which shall contain the grounds of Appeal and such further and other particulars as the Board may require.</p> <p>2. The Notice of Appeal shall be lodged with Racing SA within two days after the decision appealed from with a deposit of \$100.</p>	<p>Notice of Appeal (LR 99) Amended 29/1/21 Amendment 25/8/23, with effect 01/10/23 – addition of LR46 no right of appeal.</p>
<p>33.3 The Board may hear and determine an Appeal upon a case stated by the appellant and the Stewards or upon the record of the proceedings before the Stewards, either alone or together with the written statements of the Stewards or any of them, or the oral statements of the Stewards or any of them or by reviewing the whole case (either with or without fresh evidence) or partly in one way and partly in another or in such other manner as the Board may think fit.</p>	<p>Powers of Board on Appeal (LR 100)</p>
<p>33.4 1. The powers of the Board under LR 33 may, at the discretion of the Board, be exercised by one or more Directors of the Board appointed by the Board to hear and determine a particular Appeal.</p> <p>2. Rescinded 1 January 2010.</p> <p>3. Every determination by the Director/s appointed under this Rule shall for all purposes under these Rules be deemed a determination of the Board, and shall not be the subject of a further Appeal to the Board.</p>	<p>Amended 1/1/10</p> <p>Board may appoint panel Adopted 1/05/05</p> <p>Amended 1/1/10</p>
<p>33.5 Before the hearing of an Appeal the Board shall cause to be given to the appellant and to the Stewards such notice of the time and place appointed for the hearing of the Appeal as to such Board appears sufficient but the Board may hear and determine the Appeal notwithstanding the absence of the appellant or the Stewards or any of them.</p>	<p>Notice of Hearing (LR 102)</p>

33.6	The Board may quash set aside alter vary or confirm the decision of the Stewards Appealed from, or may remit the case to the Stewards for rehearing and generally may make such order on an Appeal as in the opinion of the Board is necessary or expedient to ensure the determination on the merits of the real question in issue.	Board may quash, vary or confirm Stewards' decision (LR 101)
33.7	The decision of the Board on any Appeal shall be final conclusive and binding on the parties thereto and shall not be capable of being called into question in any court of law.	Decision final (LR 103)
33.8	The Board may order that the whole or any part of the deposit made by the appellant upon the institution of his Appeal be refunded to the appellant but in the absence of any such order the money so deposited shall be and remain the absolute property of Racing SA.	May retain Deposit (LR 104) Amended 29/1/21
33.9	Notwithstanding anything herein contained there shall be no right of Appeal against a decision of the Stewards in connection with: <ul style="list-style-type: none"> (a) any objection against placed horses arising out of any incident or incidents occurring during the running of a race; (b) any action taken by the Stewards in accordance with LR5.18. 	No Right of Appeal (LR 98(2)) Amended 13/9/22
33.10	Where on the hearing of an Appeal before the Board or one or more of the Directors, a transcript is taken of the proceedings the Board may require the appellant to pay a fee for each copy of the transcript provided to the appellant. The fee fixed by the Board shall not exceed the fees fixed under the Rules of the Supreme Court of South Australia from time to time for the provisions to parties in proceedings of transcripts of evidence taken in that Court.	(LR 105) Amended 1/1/10

LEGAL PROCEEDINGS

LOCAL RULE – 34

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| <p>34.1 Neither Racing SA nor any Registered Club nor any Director, official or employee of Racing SA or of any Registered Club shall be liable to prosecution or to any action for damages or breach of contract or to an injunction order or any judgment of a court at the instance of any member, owner, nominator, trainer, jockey, apprentice, stable hand or bookmaker or person admitted to a racecourse (whether upon payment or otherwise) or of any person who holds or has held any licence or permit (whether oral or in writing) from any of the said Clubs, bodies, or persons or who is applying to become, or has been, any of such classes of persons or who is applying for, or has held, any licence or permit for anything.</p> <p>(a) done in pursuance of the Rules</p> <p>(b) done in intended or purported pursuance of the Rules or other power or authority.</p> <p>(c) omitted to be done which by the Rules or by reason of some other power or authority should have been done.</p> <p>(d) done as a Club or as a Board or in his/her individual capacity.</p> <p>(e) done on the racecourse of any of the said Clubs unless it is proved that the Club or the Board or the person sued or charged did not act bona fide and knew at the time that it or he/she was not acting in accordance with the Rules or other power or authority.</p> | <p>No action against Board of any Club or Stewards
(LR 116(1))
Amended 29/1/21</p> |
| <p>34.2 It shall be a condition precedent to any right of action which comes within this Rule against any Club, body or person which, or who, is mentioned in the preceding paragraph of this Rule that the person who proposes commencing any action or proceeding shall have given notice of his/her intention to bring his/her action or commence his/her proceeding within one calendar month of the cause of action arising or the event happening and, at least, seven days before he commences his/her action or proceeding.</p> | <p>Notice of any legal process to be sent to Principal Club
(LR 116(2))</p> |
| <p>34.3 Neither Racing SA nor any Registered Club nor any Director, official or employee of Racing SA or of any Registered Club shall be liable for any loss or damage -</p> <p>(a) arising on the racecourse of the Club concerned or of which it was the licensee or lessee or was in occupation at the time,</p> <p>(b) in connection with the custody or possession of any horse chattel or the destruction of it</p> <p>whether such loss or damage be due to any act or omission or to negligence and whether it arose through the state or condition of the land or to any moveable property on the land or to any other cause whatsoever.</p> | <p>Clubs, Board or Officials not liable for loss or damage
(LR 117)
Amended 29/1/21</p> |
| <p>34.4 No action shall be brought against Racing SA or any Director, official or employee of Racing SA or against any person who gave evidence at the enquiry nor shall Racing SA, official or person be liable for anything said or written for or at the enquiry nor for anything published concerning any enquiry or concerning any person involved in such enquiry even though it is defamatory and although the Board or official has been acting without authority either under the Rules or otherwise unless the Board or the official knew at the material time that it, or he/she was acting without authority.</p> | <p>No action against Club Officials
(LR 118(3))
Amended 29/1/21</p> |

34.5 If any legal process or notice thereof in any way relating to any race or race meeting or proposed race or race meeting be served upon or given to any Registered Club or any member of the Board or any employee servant or agent thereof, such Registered Club shall forthwith cause the same to be sent to Racing SA and shall furnish Racing SA with such information relating thereto as Racing SA may from time to time require. Racing SA may in the name and on behalf of such Registered Club or its Committee or any of its employees, servants or agents conduct and control for such time as the Board thinks fit any litigation instituted by such process or following such notice and any compromise or settlement of such litigation effected or agreed to by the Board shall be effective against and binding upon the Registered Club and the members of its Committee, its employees servants and agents.

Notice of
Legal Proceedings
(LR 119)

DISCRETIONARY BENEFIT ACCOUNT

LOCAL RULE – 35

Rescinded 1/1/10

INQUIRIES

LOCAL RULE – 36

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| <p>36.1 The subject matter, the proceedings from time to time and the decision upon any such enquiry and a fair summary of the facts found or of the evidence may be published by Racing SA or an authorized official either orally or in writing and in any racing calendar or any newspaper or otherwise as the Board or the official thinks proper.</p> <p>36.2 The Board, or the Stewards, may permit accredited representatives of the press to be present at any inquiry in such numbers, and subject to such conditions, as may, from time to time, be deemed proper.</p> | <p>Holding of or result of enquiries may be published
Amended 29/1/21</p> <p>Press present at inquiry
(LR 136)
Amended 1/10/02</p> |
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AMENDMENT, REPEAL AND NEW LOCAL RULES

LOCAL RULE – 37

37 The Board may from time to time repeal or amend any of the Local Rules or make new Local Rules and the date of effect of any Local Rule repealed or amended, or any new Local Rule, shall be the date as determined by the Board.

Rules may be amended,
repealed or new rules
may be made (**LR37.1 &
LR37.2**)
Amended 15/09/22

APPRENTICE RIDING SKILLS PANEL

LOCAL RULE – 38

<p>38.1 Racing SA may –</p> <ul style="list-style-type: none">(i) appoint persons to constitute a Apprentices Riding Skills Panel;(ii) define the term of office of appointees;(iii) determine the remuneration of attendees.	<p>Adopted 1/9/06 Amended 29/1/21</p>
<p>38.2 Racing SA may appoint a person to act as a Convenor of the Apprentices Riding Skills Panel.</p>	<p>Amended 29/1/21</p>
<p>38.3 Racing SA may determine and publish the objectives, functions and a procedure for the Apprentices Riding Skills Panel and may from time to time determine amendments thereto.</p>	<p>Amended 29/1/21</p>
<p>38.4 1. A rider may be referred to the Apprentices Riding Skills Panel by the Stewards –</p> <ul style="list-style-type: none">(i) following the conduct of an inquiry;(ii) at any other time, by order of the Chairman of Stewards. <p>2. Any rider so referred shall be provided with a notice in writing by the Stewards.</p>	
<p>38.5 At any time the Apprentices Riding Skills Panel consider a referral, the sitting panel shall constitute –</p> <ul style="list-style-type: none">(1) the Apprentice Academy Riding Master;(2) two current 'A' Class Jockeys;(3) such other persons having appropriate knowledge, experience or skills considered appropriate to a particular issue.	

PAYMENT OF ARREARS

LOCAL RULE – 39

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| <p>39.1 Racing SA may reject the nominations and acceptances in the name of any person who has not made payments within the terms as prescribed from time to time or whose account is in arrears.</p> | <p>Effective 1/9/09
Amended 29/1/21</p> |
| <p>39.2 For the purpose of this Rule, “payments” shall include any sum payable as subscriptions, fines, fees, stakes or forfeits in respect of any race at the same or any other race meeting conducted under the Rules of any Principal Racing Authority; and any sum in respect of which a person has been declared a defaulter or placed on the Forfeit List.</p> | <p>Effective 1/9/09</p> |

OBJECTIONS

LOCAL RULE – 40

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|-------------|---|-------------------|
| 40.1 | Prior to the declaration of correct weight, connections or riders of any horse, which might as a consequence of a successful objection earn prizemoney, may seek permission from the Stewards to view the Stewards' surveillance vision and/or the official image to determine whether they have grounds to lodge an objection. | Effective 1/10/09 |
| 40.2 | 1. At the hearing of an objection lodged pursuant to AR 219, the rider, the trainer and owners of each horse directly involved shall be given the opportunity to be present, except that after the expiration of a reasonable time after the lodgement of the objection the Stewards shall proceed with the hearing. | Amended 1/3/19 |
| | 2. At the hearing of an objection lodged pursuant to AR 219:- | Amended 1/3/19 |
| | 1) The managing owner only or a representative only may act on behalf of all owners. | |
| | 2) Where a syndicate is part of the ownership of any horse, attendance by the manager only shall be permitted except that the Stewards, at their discretion, may permit other members of the syndicate to be present. | |
| | 3) Prior to any hearing, the Stewards may limit the number of owners present. | |

HEALTH & SAFETY

LOCAL RULE – 41

- 41.1** Notwithstanding the provisions of Rule AR 108,
- (a) A licensed person riding or leading a horse outside its stable premises on a public roadway or thoroughfare shall wear a reflective vest of a design approved by the Stewards.
 - (b) A licensed person riding or leading a horse to or from a racecourse to attend a race meeting or Official Trials or to be transported to a race meeting, when crossing public roads, shall wear a reflective vest approved by the Stewards.
- 41.2** Any person in breach of these Rules shall be penalised.
- 41.3** Any person who attempts to or otherwise obstructs or interferes in any manner with or prevents any licensed person from complying with these Rules shall be penalised.

Effective 1/4/11
Amended 1/3/19

APPROVAL TO RACE

LOCAL RULE – 42

- 42.1** When a horse is presented to engage in a jump-out being conducted on a private training facility for the purpose of obtaining an approval under LR.8.12.1 or LR.8.12.2, and a prohibited substance is detected in any sample taken from it prior to or following such engagement, the trainer and any other person who was in charge of the horse at any relevant time may be penalised.

Effective 1/8/12

GEAR

LOCAL RULE – 43

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| 43 | <ol style="list-style-type: none">1. Trainers shall ensure that all racing gear used by them in races, official trials, jump outs and trackwork is -<ol style="list-style-type: none">(a) approved for use pursuant to the Rules; and(b) in good condition.
2. Unless otherwise permitted by the Stewards, all horses shall be presented to race in the gear approved in accordance with AR 206(2) and recorded with RISA prior to the declaration of acceptance for any race.
3. Unless otherwise permitted by the Stewards, all gear approved to be used on a horse in any race shall be fitted to such horse as directed by the Stewards but in any event prior to that horse leaving the mounting yard to proceed to the start.
4. Any person who breaches or is party to a breach of this Rule may be penalised. | Effective 1/12/12 |
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RECOVERY OF PRIZEMONEY

LOCAL RULE – 44

44	<p>1. Where:</p> <p style="padding-left: 40px;">2. despite its power to withhold same pursuant to AR 223, a Club or Racing SA (as the case may be) in the exercise of its absolute discretion pays (“payment”) to a person Prizemoney or any other money in respect of a horse’s participation in a Race (“subject race”); and</p> <p style="padding-left: 40px;">3. the person is later found by the Stewards not to be entitled to the Prizemoney or any other money because a Sample taken from the horse before the payment is, subsequent to the payment, found to contain a Prohibited Substance in the ways described in AR 240(2);</p> <p>the following provisions apply;</p> <p style="padding-left: 40px;">2. without in any way derogating from the provisions of AR 224 and in addition to the provisions thereof, the Prizemoney or any other money shall become the property of Racing SA and may be recovered by it as a debt in any Court of competent jurisdiction.</p> <p style="padding-left: 40px;">3. in addition to the provisions of sub rule 2 of this rule, the Prizemoney or any other money may be recovered from the Prizemoney or any other earnings due in respect of any other horse in which the person has an interest, or in the case of a Rider, from the Prizemoney or any other earnings due in respect of any other horse which the Rider has fulfilled an engagement.</p> <p style="padding-left: 40px;">4. further or in the alternative, in the event any Prizemoney or any other money is not recovered in the ways described above, Racing SA may place on the Forfeit List:</p> <p style="padding-left: 80px;">(i) the name of the person and/or</p> <p style="padding-left: 80px;">(ii) the names of all horses in which the person has an interest.</p> <p style="padding-left: 40px;">5. subject to sub rule 6 of this rule, all of the capitalised words in this rule have the meaning ascribed to them in AR 2 and LR 1 (as the case may be).</p> <p style="padding-left: 40px;">6. for the purposes of this rule, and in addition to the meaning afforded under the definitions referred to in sub rule (5) of this rule; any reference in this rule to “person” shall also include a “Rider”, a Nominator of the subject horse and all persons entitled to some or all of the Prizemoney or any other money payable in respect of a horse’s participation in the subject race.</p>	<p>Effective 1/11/15</p> <p>Amended 1/3/19 Amended 29/1/21 Amended 27/4/21</p> <p>Amended 29/1/21 Amended 27/4/21</p> <p>Amended 27/4/21</p> <p>Amended 29/1/21 Amended 27/4/21</p> <p>Amended 27/4/21</p> <p>Effective 27/4/21</p>
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VACCINATION REQUIREMENTS

LOCAL RULE – 45

45	<p>1. Subject to LR 45(2), a person must not enter or remain in a Designated Racing Participant Area while a race meeting or official trial is being held, unless the person:</p> <ul style="list-style-type: none">(a) for the purposes of any race meeting or official trial held on or following the First Vaccination Date, but prior to the Full Vaccination Date, can show Evidence of being at least Partially Vaccinated; and(b) for the purposes of any race meeting or official trial held on or following the Full Vaccination Date, can show Evidence of being Fully Vaccinated.	Effective 26/11/21
	<p>2. LR 45(1) does not apply to a person:</p> <ul style="list-style-type: none">(a) who within 3 days in advance of the race meeting or official trial, has: to the satisfaction of Racing SA, produced:<ul style="list-style-type: none">(i) a medical certificate from a registered medical practitioner certifying that because of a specified medical contraindication as set out in the Public Health Directions, the person to whom the certificate has been issued cannot safely have a COVID-19 vaccine; and(ii) a certified COVID-19 test result confirming that the person has tested negative to COVID-19 within 3 days of the commencement of the race meeting or official trial; or(b) who otherwise complied with any other direction or order made by the Stewards with respect to biosecurity precautions required to be taken by a person from time to time, or(c) in circumstances where Racing SA determines the requirements contemplated in parts (a) or (b) of this subrule does not apply, provided that any determination so made may still include requirements as determined by Racing SA in their sole discretion.	Amended 20/04/2022 (Part 2)
	<p>3. For the purpose of LR 45:</p> <ul style="list-style-type: none">(a) COVID-19 vaccine means a vaccine to protect a person against COVID-19 that has been registered or provisionally registered by the Therapeutic Goods Administration.(b) Designated Raceday Participant Area means an area of the Course:<ul style="list-style-type: none">(i) used by persons involved in the participation, operation and management of a race meeting or official trial, including but not limited to the tie up stalls, parade ring, mounting yard, jockey rooms, Stewards' rooms and racetrack; or(ii) as otherwise designated by the Stewards.	
	<p>(c) Evidence means:</p>	

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- (i) a form of government issued photo identification showing the person's name and place of residence; and
 - (ii) a digital certificate or other evidence from the Australian Government that the person is Partially Vaccinated or Fully Vaccinated.
- (d) **First Vaccination Date** means the date determined and proclaimed by Racing SA as such for the purpose of this rule.
 - (e) **Full Vaccination Date** means the date determined and proclaimed by Racing SA as such for the purpose of this rule.
 - (f) **Fully Vaccinated** means having received the prescribed number of doses of a COVID-19 vaccine as recommended by the Australian Technical Advisory Group on Immunisation (ATAGI) for full COVID-19 vaccination.
 - (g) **Partially Vaccinated** means having received a dose of a COVID-19 vaccine that is less than the number of doses of a COVID-19 vaccine as recommended by the Australian Technical Advisory Group on Immunisation (ATAGI) for Full Vaccination.
 - (h) **Public Health Directions** means the COVID-19 Mandatory Vaccination (Workers) Directions made under the Emergency Management Act 2004 (SA), as issued from time to time (including, for clarity, any successive Directions with a different name).

ADMISSION AND ACCEPTANCE OF PENALTY PROCEDURE

LOCAL RULE – 46

- 46
1. Without limiting any power conferred on the Stewards or the Compliance Officer under the Rules and in furtherance of their powers and duties, a Steward or the Compliance Officer may, in circumstances where, in their opinion, any of the Rules specified in LR 46.4 appears on *prima facie* evidence to have been breached by a person; present that person with an Admission and Acceptance of Penalty Notice (“**Notice**”) for their consideration.
 2. Where a Notice is presented to a person, it must include;
 - (a) the name of the person,
 - (b) the date and time of presentation of the Notice to that person,
 - (c) the date and time of the alleged offence and the relevant Rule,
 - (d) brief particulars of the alleged offence,
 - (e) the type, and where required the quantum, of the penalty to be imposed,
 - (f) the name of the Steward or Compliance Officer who presented the Notice to the person,
 - (g) a designated area for the person to sign an acknowledgement admitting as true and correct the matters stated in the Notice and agreeing, in the case of a fine, to pay to Racing SA Limited the fine imposed.
 3. In the event a person is presented with a Notice and elects to not admit to the particulars stated therein and does not sign the Notice, then;
 - (a) the person will be required to appear at an inquiry conducted by the Stewards to, inter alia, consider the *prima facie* evidence which led to the production of the Notice and hear the person’s response to the matters alleged;
 - (b) the Steward or Compliance Officer, as the case may be, who presented the Notice to the person will not be eligible to participate as a member of the Stewards Panel at any such subsequent inquiry, but may give evidence at that inquiry.
 4. For the purpose of this rule LR46, the following rules are specified;
 - (a) AR104(1), and
 - (b) AR296(2)(d)
 5. For the purpose of this rule LR46, the only penalties that may be imposed pursuant to an election by a person to admit to the particulars stated in the Notice, is;
 - (a) a Reprimand, or
 - (b) a fine not exceeding \$400.
 6. Notwithstanding the provisions of LR33 and any other Rules which provide for an appeal against a decision taken under the Rules, a person who admits to a breach and elects to sign the Notice and accepts the penalty imposed pursuant to that election, shall not have any right of appeal in respect of the admission and/or the penalty imposed.
 7. For the avoidance of doubt, a penalty imposed pursuant to this Rule is a penalty within the meaning of Australian Rule of Racing 283(1)(d).

Adopted 25/8/23

Effective 1/10/23

Division I of the South Australian Local Rules of Racing

TRAINING DISPUTES TRIBUNAL LOCAL RULES

Introduction

Effective 1/8/17

1. The Rules in this Division are:

1.1 to be read, applied and interpreted in conjunction with Schedule 1 to the *Australian Rules of Racing – the TOR Rules*; and

1.2 made in furtherance of TOR Rule 2 of the Australian Rules of Racing.

Constitution of the Training Disputes Tribunal.

2. For Racing SA, the Training Disputes Tribunal described in the TOR Rules is:

2.1 to be called the **South Australian Training Disputes Tribunal (“SATDT”)**

Amended 29/1/21

2.2 to consist of a Chairperson appointed by the Directors (“**Directors**”) of the Board of Racing SA (“**Board**”) and such Deputy or Deputy Chairpersons as the Board may in its sole discretion appoint. For the avoidance of doubt, there is no obligation to appoint a Deputy;

Amended 29/1/21

2.3 constituted solely to determine disputes (as defined in the TOR Rules) referred to the SATDT by Racing Australia (“RA”) pursuant to the TOR Rules.

Registrar and Registry

3. There is to be a Registrar of the SATDT who is to be appointed by Racing SA.

4. The Registrar must be an employee of Racing SA

5. The Registrar must:

Amended 29/1/21

5.1 operate a Registry at which all documents to be filed or lodged with the SATDT shall be lodged and from which all documents to be issued by the SATDT shall be sent;

Amended 29/1/21

5.2 keep a register of all disputes referred to the SATDT by RA pursuant to the TOR Rules. The following information must be entered in the Register by the Registrar:

(a) the sequential number of the Dispute;

(b) the date of receipt of the referral from RA;

(c) the name of the parties to the dispute;

(d) a precise summary of the amount in dispute;

(e) the date of hearing (if oral) of the Dispute once determined by the Chairperson;

(f) the result of the SATDT decision in respect of the Dispute;

(g) any decision of Racing SA and/or its Directors as to the matters in the TOR Rules deputed to, and made by, Racing SA (as Principal Racing Authority under the TOR Rules).

(h) Any orders by the SATDT as to costs or any other matter.

5.3 perform other functions directed by the Chairperson of SATDT or Racing SA.

Amended 29/1/21

Constitution of SATDT for determining disputes.

6. For the purpose of determining a dispute, the SATDT is to be constituted by the Chairperson or, if there is a Deputy Chairperson, the Chairperson

may assign or reassign a dispute to a Deputy Chairperson as the Chairperson in their absolute discretion deems appropriate.

Amended 29/1/21

Appointment of SATDT members.

7. The Directors may appoint a person who must have relevant experience in dealing with commercial disputes as Chairperson and as any Deputy Chairperson(s). A Director is not eligible for such appointment.

Term of office of SATDT member

8. The person(s) appointed pursuant to SATDT Local Rule 7 may be appointed for a term, not exceeding three years, as the Directors may determine and specify in the instrument of appointment. On the expiration of that term, that person is eligible, in the sole discretion of the Directors, for reappointment.

Removal of SATDT Member

9. The Directors may remove a SATDT member from office for;
- 9.1 mental or physical incapacity to carry out satisfactorily the duties of office;
 - 9.2 neglect of duty; or
 - 9.3 dishonourable conduct.

Cessation of SATDT Membership.

10. A person ceases to be a member of the SATDT if:
- 10.1 the person dies;
 - 10.2 the person's term of office expires;
 - 10.3 the person resigns by written notice addressed to the Board;
 - 10.4 the person becomes a Director;
 - 10.5 the person is removed from office pursuant to SATDT Local Rule 9.

Payment of allowances

11. The Chairperson and any Deputy of SATDT is to receive such allowances and expenses as Racing SA determine.

Indemnity

12. To the extent permitted by law, Racing SA must indemnify the Chairperson any Deputy of the SATDT for an act or omission by the Chairperson or any Deputy member of SATDT in good faith and in the exercise or performance or purported exercise or performance of powers or functions as a SATDT Chairperson or any Deputy.

Jurisdiction of the SATDT

13. The SATDT has jurisdiction only to:
- 13.1 determine disputes between trainers and owners as are duly referred to Racing SA by RA in accordance with the TOR Rules; and
 - 13.2 take the actions and exercise the powers contemplated by, and specified in, the TOR Rules.
14. The SATDT may decline to accept a dispute referred to it where in its reasonable opinion;
- 14.1 the dispute is insufficiently geographically connected to South Australia; and/or
 - 14.2 if another State's TDT can more conveniently determine that particular dispute having regard to such factors as, without limitation:

- .(a) the place where the contract for the services the subject of the dispute was entered into;
- .(b) the place where the services were or are to be substantially carried out;
- .(c) the locations where the parties and or witnesses principally reside and/or
- .(d) the location of the horse(s) the subject of the dispute.

SATDT Rules

- 15.** In addition to, and without derogating from, these SATDT Local Rules, the Board and/or the Chairperson may make, vary and repeal rules governing the operation of SATDT (“**SATDT Rules**”) including without limitation:
- 15.1 prescribing amounts required to be paid by any party for the taking of any step in the determination of the Dispute;
 - 15.2 fixing time limits for the taking of any step by any party in the conduct of the determination;
 - 15.3 prescribing any other matter relating to the procedures for determinations by SATDT provided that any applicable Rules under the TOR Rules or other Australian Rules of Racing will apply and will prevail to the extent of any inconsistency;
 - 15.4 as to any additional fees or charges required to be paid by one or both of the parties for the determination of the dispute.
- 16.** The SATDT may, if satisfied that it is just and reasonable in the circumstances to do so, dispense with a requirement of the SATDT Rules.

Timing and proceedings on a Determination of a Dispute

- 17.** SATDT must commence a determination of a dispute duly referred to it by RA as soon as practicable within 35 days of the receipt by the Registrar of the referral of that Dispute from RA.
- 18.** Notwithstanding SATDT Local Rule 17, the Chairperson (or any Deputy) may in a particular case extend the period of time prescribed in SATDT Local Rule 17 if satisfied there is good reason to do so.
- 19.** In addition to the matters set forth in the TOR Rules as to the TDT process – see in particular TOR Rule 8-- the following provisions apply:
- 19.1 the SATDT must afford each party to the dispute a reasonable opportunity to present their case (whether on the papers or orally as the case may be) and where the determination is oral, to call witnesses and examine or cross examine witnesses;
 - 19.2 otherwise, SATDT may conduct a determination in such manner as it sees fit:
 - .(a) including by way of telephone; video link or other electronic means as the SATDT deems appropriate in the circumstances; and
 - .(b) in such other ways as to determine the dispute expeditiously in accordance with the requirements of the TOR Rules.
 - 19.3 In regard to SATDT Local Rule 19.2 above, as an aid to him or her to coming to a decision in dispute under consideration, the Chairperson (or any Deputy) determining a dispute may consult with a Deputy Chairperson or take such other advice from other persons as he or she sees fit on technical or legal issues arising out of the hearing (whether on the papers or oral) without the

- need for the person from whom the advice is sought to have participated in any oral hearing or in any other way in respect of that dispute and the Chairperson or Deputy so consulting is not obliged to disclose the fact of any such consultation to the parties in that dispute.
- 19.4 upon receipt of a referral to it by RA of a Dispute, the SATDT will, within 10 days, issue to each party a Notice:
- (a) acknowledging receipt of the referral and advising of its role; and asking the parties to indicate by completing and returning to the Registrar of SATDT a document called a **Response** indicating:
 - (1) whether that party agrees that the determination by SATDT of the dispute can proceed on the papers and no oral hearing is required;
 - (2) in the case of the Trainer, a concise summary of their case in support of their claim;
 - (3) in the case of the Owner, a concise summary of their defence to the claim;
 - (4) what other written material that party intend to rely on in the dispute;
 - (5) if an oral hearing is required, whether any witnesses are to be called and if so their identity and a precis of their evidence
 - (6) if legal representation is sought by that person - noting that there is no immediate right to legal representation - and, if so, the grounds for seeking such representation having regard to the criteria in TOR Rule 8(5)(b) (i) – (iv).
- 19.5 the Response is to be returned to the Registrar by each party to the Dispute with a copy to the other party(ies) to the Dispute within 10 days of their receipt of the Notice. In the event of a failure by a party to comply with the requirements in SATDT Local Rule 19.4 and this rule (“**Party in Default**”), the SATDT may proceed to determine the Dispute on the material available to it without further notice to the Party in Default.
- 19.6 upon return to the Registrar of the Response, the Chairperson will give such additional directions to the parties as the Chairperson sees fit as to:
- (a) whether the determination is to proceed on the papers or by oral hearing;
 - (b) if an oral hearing, the date, place and time for the hearing;
 - (c) if an oral hearing as to any limits on the witnesses to be called; the manner of their evidence and use by the parties of documents;
 - (d) the nature and timing of the exchange by the parties of submissions in support of their respective cases and any replies.
- 19.7 as to costs, the TOR Rules, and in particular TOR Rule 8(6) (g)-(j), set out the provisions as to payment by the parties of costs of a dispute.
20. For the purposes of TOR Rule 6(1)(c) and TOR Rule 6(3) “**Special Circumstance**” takes the same meaning as defined in the TOR Rules “*Appendix 1: Interpretation of the TOR Rules*” and, without limiting the breadth of same, includes considerations as to

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- .20.1 where the amount of the dispute is substantially less than the prize money and it would be inequitable to freeze all of same;
 - .20.2 the number of owners and their respective roles in the dispute and any contributions same may have made to attempt a resolution to date;
 - .20.3 the conduct of the Trainer giving rise to the dispute;
 - .20.4 the anticipated time to resolve the dispute;
 - .20.5 other measures available to the parties to the dispute to secure the fees in dispute pending resolution of the dispute without the need to freeze the prizemoney;
 - .20.6 such other matters as to Racing SA seem expedient.

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